

# **International Mortality And Smoking Statistics**

## **IMASS Version 3**

### **User's guide and Examples**

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## 1. Description of the IMASS system

### 1.1 Introduction

The system is designed to give ready access to international data on tobacco consumption, smoking prevalence, and mortality from the major smoking-related diseases. It consists of a set of linked Excel workbooks, containing nationally-based data for 30 countries on mortality from four major smoking-related diseases and on tobacco and smoking statistics. Facilities are provided to help the user explore the relationships in the data via a variety of standard plots and tabulations.

### 1.2 Data structure - Age, Period and Cohort

In general, the data are organized in tables where the rows represent 5-year periods, and the columns 5-year age groups 0-4, 5-9, 10-14, 15-19, 20-24 .... 80-85, and 85+, for males and females separately. The diagonals of such a table can be interpreted as representing the lifetime experience of people born around the same time, e.g. people aged 0-4 in 1901-1905 would be aged 5-9 in 1906-1910 and aged 10-14 in 1911-1915 etc. Thus the diagonals of the table correspond approximately to the experience of a birth cohort.

One of the main objectives of this system is to allow mortality from smoking-related diseases for a group of people to be related to their tobacco consumption history as recorded at various periods of their life. A cell in the mortality table relates to a group of people dying at a specified age and period. Their lifetime tobacco history can be found by starting at the equivalent cell in the corresponding tobacco table and working backwards up the diagonal of this table. Facilities for this type of analysis are provided.

In general, and subject to availability, results are presented by 5-year periods, starting at 1851-1855 and continuing to 1996-2000, and in the 5-year age groups 15-19, 20-24 .... 80-84, and 85+.

Some limitations to this birth cohort approach should be noted. First, people dying within a 5-year period and a 5-year age group actually include those born in a 10-year age range. For example, those dying at age 60-64 in 1961-65 include 64-year-olds

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#### ABBREVIATIONS

A	All tobacco products	IMASS	International Mortality and Smoking Statistics
CB	Chronic bronchitis	ISS2	International Smoking Statistics, second edition
COPD	Chronic obstructive pulmonary disease	MC	Manufactured cigarettes
CPP	Cigarettes per person per day	PNLSC	P.N.Lee Statistics and Computing Ltd.
ICD	International Classification of Diseases	TC	Total cigarettes
IHD	Ischaemic heart disease	WHO	World Health Organisation

dying in 1961, born in 1896, and 60-year-olds dying in 1965, born in 1905. A more rigorous method taking these overlapping cohorts into account has been used in some other work<sup>1,2</sup> but is not attempted here. Second, the approach ignores the fact that the data in the different cells of a diagonal do not relate to the exact same people, as immigration, emigration and mortality are ignored. Differential mortality, with more smokers than non-smokers dying early, may be an important feature for the oldest age groups, but is not considered here.

### 1.3 Countries included

30 countries are included as shown below. Coverage is essentially complete for Europe, but elsewhere is restricted to some major developed countries. One limiting factor is the availability of sufficient smoking data for useful study, another is that mortality data submitted to WHO for some other countries are only regional, based on inadequate death registration and/or cover only a very limited period of time.

Australia	Greece	Poland
Austria	Hungary	Portugal
Belgium	Iceland	Romania
Bulgaria	Ireland	Spain
Canada	Israel	Sweden
Czechoslovakia	Italy	Switzerland
Denmark	Japan	UK
Finland	Netherlands	USA
France	New Zealand	USSR
Germany	Norway	Yugoslavia

Between World War II and reunification, data for Germany relate to West Germany only. Data for Czechoslovakia, USSR and Yugoslavia are only included up to 1990.

### 1.4 Causes of death included

The system currently contains data for four diseases: Lung cancer, Ischaemic Heart Disease (IHD), Chronic Obstructive Pulmonary Disease (COPD) and Respiratory Diseases (non-acute). See section 1.6 for details of how these diseases are defined.

### 1.5 Data sources

Age-, sex- and cause-specific mortality data are made available on the Internet by WHO<sup>3</sup> and commonly start in the 1950s. The WHO data are provided as numbers of deaths and populations for single years. For all analyses, these are converted to rates (per 100,000) for the 5-year periods. If data are not available for the full 5 years, the rate is calculated from the available years. [Table 1](#) shows, for each country, the period of availability of mortality data (as at September 2003).

For other sources of population data (usually before about 1950), see the second edition of “International Smoking Statistics” (ISS2)<sup>4</sup>.

Smoking and tobacco data are of two types: sales-based and survey-based.

Sales-based tobacco consumption data are mostly taken from ISS2<sup>4</sup>. They have been arranged into 5-year periods matching those used for mortality, but starting earlier if available. They have been entered, so far as they are available, for three product categories:

- manufactured cigarettes (MC),
- total cigarettes (i.e. including hand-rolled) (TC), and
- all tobacco products (A).

They are given as number (or grams, for all tobacco products) per adult per day. They commonly start around the 1920s, but go back much earlier for a few countries. Where original sales data are not available for all 5 years an average from the available years is used. Note that sales-based consumption data are not age- or sex-specific.

Tar data (sales-weighted average yield, mg per cigarette) are also available for some countries from ISS2<sup>4</sup>, commonly starting around the 1960s. Tar is assumed to be 35 mg/cigarette up to 1955 (except in the very few instances of actual data before that year), with simple linear interpolation used to fill in missing years. Tar data are also not age- or sex-specific.

The availability of sales-based tobacco consumption and tar data is shown, for each country, in [Table 2](#).

The main survey-based data consist of:

- prevalence, for each of the three product categories, as a percentage, and
- consumption of manufactured cigarettes and of total cigarettes, as number per person per day adjusted to total sales.

Both these types of data are taken from Supplement 1<sup>5</sup> to ISS2, which made estimates from all the survey data presented in ISS2 for standardized age groups, and averaged them by sex  $\times$  5-year period  $\times$  5-year age group. These estimates assume that there were no smokers below the age of 15. Prevalence estimates commonly start around the 1950s, with consumption estimates commonly starting in the 1960s. Fuller details of the availability of the main survey-based tobacco data are shown, for each country, in [Table 3](#). It should be noted that the original surveys on which these estimates are based were drawn from a wide variety of sources, as discussed in the *Methods* section

of ISS2, and some cautions regarding the estimation process are discussed in the supplement.

The main survey-based data derive from studies in which subjects are asked about their current-smoking habits. For eleven countries, alternative survey-based data sets are also available. These are based on studies in which subjects are asked about their lifetime smoking history (see ISS2 Appendix V and Supplement 2<sup>6</sup> for a description of this retrospective method and a discussion of some of its advantages and disadvantages). These data commonly relate to birth cohorts born after about 1890 and do not involve the assumption of no smoking below age 15. They are taken from various published sources and are not derived on a consistent basis (see [Table 4](#) for fuller details of the surveys). No attempt has been made to standardize these data. The database simply includes the data for the nearest relevant standard period and age group, either duplicating data or leaving gaps as appropriate to the individual case. For instance, many of the sources are based on 10-year birth cohorts, and these have been entered by repeating the data for the two relevant age groups. The user will need to consider how to use these alternative data sets on an individual basis. Their advantage is that they often allow lifetime tobacco history to be estimated over a longer time period than is possible with the main survey-based data.

#### 1.6 Definitions of causes of death

Deaths are coded according to successive revisions of the International Classification of Diseases (ICD). Countries vary as to when (and if) they introduce the various revisions (see [Table 1](#)). In the 1950s the 6<sup>th</sup> revision was in use, and the latest 10<sup>th</sup> revision, published in 1992, is now in use by 16 of the countries. Except in the case of the 10<sup>th</sup> revision, which is used in full, data are provided to WHO according to various summary coding lists based on the ICD, and some countries use their own more limited versions.

Changes between the ICD revisions reflect differences in the understanding of the disease process and changes in terminology. For instance, stroke was a disease of the nervous system up to the 7<sup>th</sup> revision and of the vascular system subsequently, while COPD is a term of only relatively recent origin. These changes can make it difficult to extract consistently defined series of mortality data. The actual definitions used for the four disease groupings are detailed in [Table 5](#).

As can be seen from [Table 5](#), there is no real difficulty in obtaining a comparable disease definition from the data available for the various ICD revisions for lung cancer, the definition used always including cancers of the trachea, lung and bronchus.

For IHD, the definitions used in the 8<sup>th</sup>, 9<sup>th</sup> and 10<sup>th</sup> revisions are also quite comparable. However, the definitions used in the 6<sup>th</sup> and 7<sup>th</sup> revisions are somewhat broader. It has been suggested<sup>7</sup> that comparability might be obtained by using codes 420 + 422.1 in the 6<sup>th</sup> and 7<sup>th</sup> revisions, but data are not available from WHO for such detailed codes. Examination of 1965 data for England and Wales showed that deaths for summary code A081 (as used in the database) were about 11% higher for men and 25% higher for women than deaths for 420 + 422.1, so the discontinuity is not a minor one. Given that the alternative was not to have any IHD data before about 1968 (when the ICD 8<sup>th</sup> revision came in), it seemed better to include earlier data using a somewhat different definition, but making it clear that there was a problem of non-comparability when studying time trends.

For COPD the problem is more complex. Ideally, for comparison with smoking data, one would have liked a disease definition which included the terms chronic bronchitis (CB), emphysema and COPD. However, there were a number of problems:

- (i) asthma, as well as bronchitis unqualified as to whether it is acute or chronic, is combined with CB and emphysema in the same WHO broad summary code in the 8<sup>th</sup> and 9<sup>th</sup> revisions,
- (ii) COPD, a term only commonly used in the last 20 years or so, is classified with “other respiratory disease” in the 6<sup>th</sup>, 7<sup>th</sup> and 8<sup>th</sup> revisions,
- (iii) emphysema is classified with “other respiratory disease” in the 6<sup>th</sup> and 7<sup>th</sup> revisions, and
- (iv) asthma is included under allergic disorders (and not as a respiratory disease at all) in the 6<sup>th</sup> and 7<sup>th</sup> revisions and cannot be separately identified from the available data.

After studying the coding systems at some length it became clear that there was no entirely satisfactory solution. The definition of COPD finally selected (as detailed in Table 5) is comparable enough for the 9<sup>th</sup> and 10<sup>th</sup> revisions, but will lead to some discontinuities earlier, which may vary by country. As an alternative, data for respiratory diseases (non-acute) are also included. This broader disease grouping has fewer continuity problems, but includes various diseases that are not associated with smoking.

It should also be noted that there are numerous ‘rules’ used when carrying out the coding, and that these may vary between countries and from time to time. For instance, the death coded is the underlying cause, but between 1985 and 1992 England and Wales adopted its own version of the rule to determine the underlying cause, resulting in a substantial drop in the death rate from pneumonia in that period<sup>8</sup>. A discussion of other aspects of the validity of mortality data is given by Alderson<sup>9</sup>.

Tables 1-5 are provided for quick reference to the time span for which the different types of data are available. This information is also given in the **Country Details** sheet of each workbook.



## 2. Using the IMASS system

### 2.1 Getting started

The system works in Excel 97 or later. Macros must be enabled (some systems default to disallowing macros for virus protection). The preferred screen resolution is 1024 × 768. Use **View** then **Full screen** (or alternatively **Zoom 75%**) for the best effect. See also section 2.9, System Requirements.

When the CD is inserted, the install program should auto-run. If it does not, run **IMASSinstall.exe** from the CD. Files are installed with “read-only” status to prevent accidental corruption of the data. If required, the main file can be copied with read/write status, so that settings for particular analyses can be saved.

IMASS Version 3 installation will not overwrite any previous IMASS versions, and will be identified by new menu entries e.g. **IMASS v3 Excel database**. The default installation directory is C:\IMASSv3. Previous IMASS versions can still be used to allow continuity of data in existing projects.

The program can then be started from the Windows **Start / Programs** menu. Alternatively start Excel and then open the workbook C:\IMASSv3\IMASS.xls.

Although this workbook contains many sheets, the user will carry out the majority of operations using just the first few sheets. These are:

**Index**

**Mortality Plots**

**Prevalence Plots**

**Consumption (CPP) Plots**, including both sales and survey-based consumption

**Scatter Plots**, comparison of mortality vs prevalence/consumption

**Combination Plots**, simultaneous plotting of mortality and either consumption (sales and/or survey based) or prevalence

Each of the plot sheets holds a succession of pages, each page containing a single plot. These are reached directly by simply clicking on the relevant button in the **Index** sheet, or by scrolling down through the plot sheet.

The user may also want to look at the first sheet, **Country Details**, of the country workbooks, where information on the exact range of available years of data is shown. These too can be reached from the **Country Settings** section of the **Index** sheet. The ‘raw data’ can be found in the country workbooks if the user wishes to create their own analyses beyond those provided in **IMASS** – see section 2.10.

## 2.2 Using the Mortality Plots sheet

On this sheet, the user can plot (or tabulate) mortality data according to a number of factors. The mortality data commonly start in the 1950s.

In all plots on this sheet, the mortality rate forms the y-axis. First decide which factor is to form the x-axis of the plot, choosing from age group, period and birth cohort. Then decide which factor is to be represented by lines on the plot, choosing from age group, period, birth cohort, sex and country. Then click on the button labelled with the two chosen factors on the **Index** sheet to move there directly, or scroll down within the sheet to the relevant page. The pages are:

- 1 **Period and Age group**
- 2 **Age group and Birth cohort**
- 3 **Period and Birth cohort**
- 4 **Sex and Age group**
- 5 **Sex and Period**
- 6 **Sex and Birth cohort**
- 7 **Country and Age group**
- 8 **Country and Period**
- 9 **Country and Birth cohort**

Looking at the first page, **Period and Age group**, with the default settings, Example 1 will be displayed.

The content and appearance of the plot can be varied in several ways.

By clicking on the X Axis options buttons:

- These buttons allow the two factors in the plot to be swapped. In this Example, if you click on **Periods**, the appropriate labels will appear on the x-axis (bottom) and the age group will appear in the legend (side-right).

By using the drop-down menus:

- Data will be for a single country, chosen from the **Country** menu, with the **Country Ratio (denominator)** set to **None (Single Country)**. Or, for a ratio of two countries, choose the numerator country with the **Country** menu and the denominator in the **Country Ratio (denominator)** menu. The relevant workbook(s) will open automatically.
- **Sex** can be set to **Males**, **Females**, **Males & Females** (combined) or **Male/Female Ratio**.
- **Disease** can be set to **Lung Cancer**, **Ischaemic Heart Disease**, **COPD** or **Respiratory Disease (non-acute)**. Definitions of these diseases have been discussed in section 1.6, as has the importance of ICD revision changes – the timing of these can be seen in Table 1 and in the **Country Details** sheet of each country workbook.

By clicking on the **Plot Settings** button:

- By default, the x-axis is scaled to fit all the standard age groups. Use **Plot Settings**, then **Age groups** to show a restricted range, or to choose, e.g. alternate age groups. Either just click in the individual tick-boxes, or highlight all the ones you want to add (e.g. by using *ctrl click*), then click on the **Show Selected Age Groups** button. Note that you cannot combine age groups at this point in the program.
- All the standard periods are shown in the legend, and all that have data available appear as a line on the plot. Use **Plot Settings**, then **Periods** to show only a restricted selection. The colours/symbols will not be altered\*.
- Click on **Mortality Plots** to get back to your plot.
- Note that **Plot Settings** apply to the whole of the **Mortality Plots** sheet. However, as we are working in the **Age and Period** page of the spreadsheet, only those settings will affect our current plot.

By normal Excel facilities:

- Text can be typed into any of the blank cells outside the chart area.
- To edit the labels on the plot itself, scroll across to the right to column AB, where the automatically generated labels will be found. Edit as required, then press F9.
- For other changes to the plot, first *right-click* on the plot and choose **Edit Chart Object**. Some of the many possibilities are:
  - To vary the range of the y-axis, *right-click* on an axis value and select **Format Axis**. Choosing the **Scale** tab, the default settings for the y-axis are seen as minimum = 0, maximum = automatic, crosses at 0. These can be changed e.g. if you want to create a series of plots all using the same fixed range.
  - To set a logarithmic scale on the y-axis, *right-click* on an axis value, choose **Format axis**, then the **Scale** tab and tick **Logarithmic scale**. You must make sure to avoid having a minimum of zero, the easiest way to do this is to fix the **Minimum** at a low value (e.g. 0.1) and setting **Crosses at** to the same value. This is shown later in Example 2.
  - To change the appearance of the axis labels, for instance to change the number of decimal places on the y-axis labels, use **Format Axis** then choose the **Number** tab, and alter as required. On the x-axis, you can choose the **Alignment** tab to change the angle at which the labels are displayed.
  - To change the colours or symbols, *right-click* on a line and choose **Format Data Series, Pattern**. To display the line label at each point, choose **Format Data Series, Data Labels**, and tick **Series Name**.

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\* There is an Excel problem that if more than 16 colour/symbol definitions are in use (as is the case for periods and cohorts, but not for age groups) and if lines are hidden and then the axes are swapped, then the colours will change. To avoid this, have all periods/cohorts showing when swapping axes.

- Do not use these methods to change the range of the x-axis, or to edit its labels. Use **Plot Settings**, or edit column AB as described above.

Then click outside the chart area to de-select the plot.

Click on the **Print Plots** button to print this plot and its settings. Other options for printing and saving the results are discussed below (section 2.8).

Click on the **Data Table** button to display the equivalent data table. The columns correspond to the x-axis factor and the rows to the lines from the plot. Normal Excel facilities can be used here (e.g. to change the number of decimal places displayed). Those settings which are controlled by the drop-down menus can be changed here too, in which case the plot will also be updated. The **Print Table** button prints the table (see [Example 1T](#)). When finished, click on **Go to Mortality Plots** which takes you back to the original position in the plot sheet.

The next few pages of the **Mortality Plots** sheet, for single country or country ratio plots, share many of the features already described. [Example 2](#) shows a plot generated on page 2, **Age group and Birth cohort**. A restricted age range has been chosen (via **Plot Settings**) and a Log Scale set on the y-axis (via **Edit Chart Settings**). [Example 3](#), generated on page 5, **Sex and Period**, illustrates some features relevant to pages 4-6.

- The x-axis factor is already fixed, since the other factor (i.e. sex) cannot be used on the x-axis and so must appear as the lines.
- Although the available lines include the M/F ratio, it is unlikely that this will be required alongside the other (Male, Female and Combined) lines, since the scale will be inappropriate. It can be hidden via **Plot Settings**. (See also [Example 6](#) below).
- Since age, period and birth cohort are interdependent, and since one of these must be chosen as the x-axis factor, a single drop-down menu is provided to allow one only of the other two to be chosen. The third is thus implicitly fixed.
- On page 5, **Sex and Period**, a facility is available to allow an age-group to be defined as a combination of standard age groups. This can be weighted either to the actual population (i.e. unweighted), or to a standard population (WHO-World or European standard populations, or a **Custom** standard population can be defined as any country/year). Click on **Combined Age Group Settings** to define the combined group and then choose it from the bottom of the **Cohort or Age group** drop-down menu.
- Also on page 5, a facility is available to allow the data in all lines to be scaled relative to a chosen period. This has the effect of forcing all the lines to pass through a single point at value 1, and the relative changes in the statistic may be more clearly seen. This is demonstrated later in [Example 5](#).

The final pages 7-9 of the **Mortality Plots** sheets offer plots for multiple countries. Here, unlike the earlier pages, any changes to the drop down menus (including changes to the **Combined Age Group Settings** and **Relative Scaling Period** on page 8 only) are not immediately implemented in the plot. Instead, an **Update Country Plots** button appears. When this is clicked the relevant country workbooks are each opened in turn and the plot is built up. See Example 4 generated on page 8. (Notice that the Plot Settings were used to restrict the periods on the x-axis in Example 3, and this remained set for Example 4).

This is a good page on which to demonstrate two features already mentioned. The **Relative Scaling Period** is shown in [Example 5](#). Note that any line with missing data at the chosen period will disappear, as happened with Czechoslovakia in the Example. Choosing a period in the centre of the range would avoid this. This page is also appropriate for plotting the sex ratio – see [Example 6](#).

Once a country plot has been updated, a further option becomes available, to convert the mortality rates into country rankings (1 = highest, 2 = next highest, and so on). These can be either unadjusted or normalised\*. See [Example 7](#), which is a rank version of Example 4. By default, the rank plots are presented in Portrait orientation to allow all 30 countries to be displayed, but as only a few countries are included in the example, this has been altered by changing the y-axis limits (as described above, and changing the maximum to the number of countries plus one – the ‘crosses at’ value will update automatically) and dragging the chart area.

### 2.3 Using the Prevalence Plots sheet, and the Lagging or Averaging option

Prevalence data represent the percentage of the population who smoke. The data are taken from Supplement 1<sup>5</sup> to ISS2 and are estimates based on all the sex-specific surveys in ISS2 standardized to 5-year age groups and 5-year periods. They are structured in the same way as the mortality data and commonly start around the 1950s.

This sheet is arranged into the same 9 pages as the **Mortality plots** sheet, and all the features described above apply. Two extra options are available here. Firstly, the **Tobacco Type** is chosen as **Manufactured cigarettes**, **Total cigarettes** (i.e. including hand rolled) or **All tobacco products**. The second special facility added here is **Lagging or Averaging**. In [Example 8](#), the Lag or Average **Start** and **End** settings (top-right) are both

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\* If X countries are selected, but at a particular point data are available for only Y countries, normalised ranks are plotted where:

$$\text{Normalised rank} = \text{Rank} * (X+1)/(Y+1)$$

This adjustment ensures that the country in the middle stays in the middle, and the countries with the highest and lowest ranks stay symmetrically near the top and bottom of the figure.

set to the default values of **0 (Current)**, so the data relate directly to the period shown, exactly as for mortality plots.

As described in section 1.2, a simple approximation to the lifetime tobacco history relevant to a particular cell in the mortality table can be found by starting at the equivalent current cell in the tobacco table and working backwards up the diagonal of the table. The **Lag or Average Start** and **End** drop-down menus (top-right) allow this to be carried out. If both are set to the same time, then the tobacco statistic is lagged, e.g. if both are set to **10 years previous**, then the value used is taken from two steps up the diagonal from the current cell. If set to different times, then the value is calculated as a simple average, e.g. if the start is set to **15 years previous** and the end to **5 years previous**, then the value is the average of the 3<sup>rd</sup>, 2<sup>nd</sup> and 1<sup>st</sup> steps up the diagonal. See [Example 9](#).

Some points to note:

- The result will be set as missing, unless all the values in the range are available, i.e. are not themselves missing and fall within the subjects' lifetime, e.g. consumption **20 years ago** will automatically be missing for 15-19 year olds.
- For the standard ISS2 survey-based data, it is implicitly assumed that prevalence and consumption were zero below age 15. So if a lag of 10 years is set, data for age groups 15-19 and 20-24 will automatically be zero. This assumption is made even for periods with no data available, so that these zeros are available for lag/average calculations.
- Consumption during lifetime or adulthood can be calculated by setting the **Start** point to **Age 0-4** or **Age 15-19** respectively, and **End** to **0 (Current)**.
- If creating a **Combined age-group**, or using **Sexes-combined**, then the simple average/lag is calculated first on the original single sex/5-year age group, then the population weighted average is calculated. In other words, only the population at the current time-point is used.
- Some limitations on the validity of this process have been described in section 1.2. Note also that the 85+ age group is clearly wider than 5 years, so its use in lagged or averaged calculations is not recommended.

#### 2.4 [Using the Consumption Plots sheet](#)

Consumption data are of two types. Sales data are taken from ISS2<sup>4</sup> and are given as number of cigarettes (or grams, for all tobacco products) per adult per day. They start commonly around the 1920s, but much earlier for a few countries. Tar data (mg per cigarette) are also available for some countries, commonly starting around the 1960s. Where original sales data are not available for all 5 years an average from the available years is used, and for tar data, a value of 35 mg is assumed up to 1955, with a simple linear interpolate used across any missing period. Survey data are taken from ISS2 Supplement 1<sup>5</sup>, and have been estimated similarly to the prevalence data. They

are given as number of cigarettes (or grams, for all tobacco products) per person per day.

This sheet is very similar to the **Prevalence Plots** sheet, with some additional options:

- Either sales-based or survey-based consumption data can be chosen. Since sales-based data are not sex-specific, whereas survey-based data are, this is combined with the choice of sex (on a single drop-down menu for pages 1-3 and 7-9, and in Plot Settings for pages 4-6. In [Example 10](#), **Males & Females, (Sales)** has been chosen.
- Sales data are also not age-specific, and so when current or lagged data have been chosen (i.e. if **Start** and **End** are set the same) the choice of **Age group** does not affect the results. However if an average (e.g. **Start = Age 15-19, End = 0 (Current)**) has been set, then the Age group setting will affect the result. Setting a lag for sales data simply shifts the data. It is possible to choose sales data for e.g. a **Sex and Age** group plot, but this is not particularly appropriate as the data will all be the same.
- **Tar Adjustment** is chosen as **None, Standard** or **Sq'root Standard**. In **Standard** adjustment, consumption is expressed in “constant tar cigarettes” estimated as

$$\text{number of cigarettes} \times \text{tar} / 35$$

where 35mg is the standard tar yield per cigarette assumed before 1955.

**Sq'root Standard** (i.e. square root of **Standard**), involves an adjustment proposed to model “compensation” by smokers when smoking lower tar cigarettes<sup>10,11</sup>. Tar adjustment can be applied to any tobacco type, although its relevance is primarily to manufactured cigarettes. See [Example 11](#). Note that tar adjustment is only possible where tar data are available or have been estimated (see section 1.5 and Table 2). Thus in Example 11, many of the lines stop earlier than in Example 10.

Notice that in Examples 10 and 11, a wider range of periods has been set (via **Plot Settings**) than in Examples 3-5, because sales data are available earlier than mortality data. Notice also that there may be a gap in the data series (e.g. Bulgaria has no sales data for the 1940s or 1950s – Example 10).

## 2.5 Using the **Scatter Plots** sheet

In this sheet, there are two pages, with page 1 – **Consumption** and page 2 – **Prevalence** respectively set on the x-axis, and mortality set on the y-axis. The countries are shown in the legend and as single points in the plot. The **Age** and **Period or Cohort** settings apply to both the tobacco statistic and mortality. **Sex** is chosen separately – in most cases one will want to choose the same setting for both. However if having chosen sales-based consumption, one might wish to choose a particular sex for the mortality. The other settings, including the **Lagging or Averaging**, apply only to the tobacco statistic. Like multi country plots on the previous sheets any changes to the drop down menus are not immediately implemented in the scatter plots. Instead, an

**Update Scatter Plots** button appears. When this is clicked the relevant country workbooks are each opened in turn and the plot is built up. See [Example 12](#).

## 2.6 Using the **Combination Plots** sheet

This sheet allows plots to be constructed showing lines for both mortality and tobacco statistics, with each line individually defined. The first three pages have Age, Period and Cohort on the x-axis respectively. Both **Consumption and Mortality** are available on the y-axis, with the scale relevant to the tobacco statistics shown on the left, and the scale relevant to the mortality statistics on the right. The next three pages repeat the sequence with **Prevalence and Mortality**.

On each plot, up to 3 tobacco lines and 3 mortality lines can be drawn. If less than the full 6 lines are required, use **Plot Settings** to hide unwanted ones. See [Example 13](#), generated on page 5, where the third mortality line has been hidden.

Then for each line in turn, use the drop-down menus to choose:

- Age** (including combined age group), **Period** or **Cohort** (except for the one in use on the x-axis)
- Sex** (including combined and ratio) and
- Country** (including country ratio).

Other options applicable to the tobacco lines are: **Tobacco type**, **Tar adjustment** (not available for prevalence) and **Lagging / averaging**, and to the mortality lines: **Disease**. Note that in the consumption plots, the choice between Sales and Survey based data is made by the **Sex & Source** drop-down, since sales data are not sex-specific.

Changes to the drop-down menus are not immediately reflected in the plot. Instead, a marker (Update Req') is shown alongside the line in question and an **Update Required** button is displayed over the plot. When all the line definitions are ready, click on the **Update Required** button and all the changes to the plot are implemented. If you make any changes to the drop-down menus from the Data Table part of the sheet, then you will be transferred back to the plot in order to carry out the update.

In the legend, the lines are simply labelled as Mort1, Mort2 etc, but these can be replaced by more meaningful labels by using **Plot Settings**, then editing in the relevant sections of column K or N.

If you require a long label split over 2 lines, as in [Example 14](#), the format is  
`= "US sales"&CHAR(10)&"(20 year average)"`

If a long label causes the legend to overlap with the axis labels, use **Edit Chart Object**, *right-click* in the legend, and choose **Format Legend, Placement, Right**.



On pages 2 and 5 with Period on the x-axis, there is also a scaling option. This applies to all the lines, causing the data to be scaled relative to a chosen period. In this case, it will probably be desirable to change the y-axis settings so that both tobacco and mortality have the same maximum value (using **Edit Chart Object**, then **Format Axis, Scale** as previously described). See [Example 15](#). Note in this case the plot axes are not automatically labelled as relative. In the example the labels have been edited manually.

Note that when two y-axis scales are in use, it is not possible to have grid lines. If all mortality lines are hidden, the mortality scale is hidden. However if all the tobacco lines are hidden, then the plot border, the background shading and x-axis scale get hidden as well as the tobacco scale – this appears to be a deficiency in Excel, which can be got round by first *right-clicking* on each mortality line and choosing **Format Data Series, Axis, Primary Axis**, before deselecting the tobacco lines. Then edit the x-axis label to Mortality.

## 2.7 Using alternative (retrospective) tobacco data

As described in section 1.5, alternative survey-based data sets are available for 11 countries. To choose an alternative data set, go to **Country Settings** from the **Index**. Information on the available sources can be displayed by choosing a country, then clicking the **Display ... Survey Sources ...** button. The data sets can be chosen from the **Survey Source** drop-down menus. Any country, scatter or combination plots already using tobacco data for the country in question will immediately be marked as needing update. Thereafter, whenever the country in question is displayed, either in a **Country** menu or in a legend, the abbreviated name of the data set will also be shown. (Note that the generic labels Source 2 or Source 3 are used in Plot Setting and are initially displayed until a plot is updated. The country name is not altered on the Mortality Plots sheets). See [Example 16](#), using **UK [HALS]**. Because this data set relates to 10-year birth cohorts, it is appropriate to show only alternate cohorts.

## 2.8 Printing and saving results

As mentioned earlier, the **Print Plots** button prints out the current plot with its settings. Use the normal Excel print facilities to change the printer properties.

When working on drafts for a report, a quick way of saving a sequence of plots and their settings is to select and copy the relevant area (including one column to the right of the plot), and then in Word, set the page to Landscape and use **Paste Special, Picture (Enhanced Metafile)**. Each plot will fill naturally one page. The Worked example was generated by this method. Alternatively, *Alt PrintScreen* in Excel and a simple paste in Word will give a screen image. By these methods, the drop-down settings are stored as part of a graphic, so the format and wording cannot be edited. Data tables can be copied by the same methods.

For plots in a more polished report, it may be better to type the headings directly into Word and copy just the plot – *right-click* on the plot and select ‘Edit Chart Object’ then *right-click* in the plot area (i.e. the white area of the plot) and select **Copy**, then in Word use **Paste Special, Picture (Enhanced Metafile)**. The plot can be dragged to size either in Excel or in Word, but any changes to the labels must be done while still in Excel. Another possibility is to create a second copy of a plot within Excel, just using simple copy and paste, then *right-click* on the new copy of the plot and choose **Location, As a new sheet**. This has two purposes:

- You can create customized labels directly on the second copy, rather than by editing in column AB. When a change is made to a drop-down menu, the data will be updated in both copies but labels will be updated only in the original. Thus the customized labels will not be destroyed in the second copy. Note that you must be careful not to leave erroneous labels.
- The size of the second copy can be controlled precisely by using **Page Setup, Margins**. This will give more accurate sizing than dragging, and will avoid the fonts becoming distorted.

A data table can be transferred to a Word table by using **Paste Special, Formatted text (RTF)**. (This is preferable to **Paste Cells** which also includes any hidden data.)

When transferring results to another Excel file, a simple copy and paste will usually create links to the original IMASS files. If a stand-alone file containing results only is required, a data table can be copied using **Paste Special, Values** and **Paste Special, Formats**. Any hidden rows or columns will also be copied. To copy a plot, the following method can be used:

*Right-click* on the plot area, choose **Edit Chart Object**, then **Source Data** and looking at the **Data Range** tab, make a note of the data range (e.g. sheet = Prev Plot Data, cells = A9:P43), then cancel. Copy the chart to the new file. Also copy the data from the data range to another sheet in the new file, starting at the same start cell (e.g. A9), and using **Paste Special, Values**. Hide any rows and/or columns that are not required. On the new chart, go to the **Data Range** tab (as before) and change IMASS and the sheet name to the names of the new file and the new data sheet respectively.

## 2.9 System requirements and configuration

The preferred system requirement is a 1200 MHz PC with 512 MB RAM running Excel 2002 (which is supplied with Office XP). The system can be run with a 256 MB Ram system running Excel 97, although the performance will be slower.

Macros must be enabled within Excel for IMASS to function correctly. In Excel 2000 this is done from menu option **Tools, Macros, Security**, select the **Security Level** tab and set to **Low**.

If you see the message requesting virus scan each time Excel opens a workbook, it probably means that the Microsoft Office plug-in is enabled in your antivirus software. The opening of work books can be speeded up by disabling that plug-in. Consult your antivirus documentation, but for example in Norton Internet Security 2003 select menu item **Options, Norton AntiVirus** and the plug-in option can be found in the **Miscellaneous** section.

### 2.10 Customized analysis

The files provide full Excel functionality, so that users can create their own customized analyses or add extra data. Note that background calculations are switched off, so that pressing key F9 (Calculate Now) is required (or change to automatic by **Tools, Options, Calculation, Automatic**).

The ‘raw data’, i.e. for single years rather than 5-year periods as used in all the provided analyses, can be found in the country workbooks:

Data type	Sheet	Start row
Numbers of deaths	<b>Deaths Male, Deaths Female</b>	143
Population (in hundreds)	<b>Pop Male, Pop Female</b>	43
Sales and tar	<b>Tobacco Data</b>	43

Prevalence and survey-based consumption are not available for single years.

If changes are to be made to a country workbook, remove the ‘Read only’ attribute from the file properties. You should always open the main IMASS workbook first before opening the country workbook, and save changes to the country workbook before returning to IMASS.

Additional tobacco data sources can be added to a country workbook, by adding the source description to rows 59 or 60 of the **Country Details** sheet, and entering the data in the appropriate Retro or Retro 2 sheet (e.g. **Prev MC Male Retro**).

## 3. Acknowledgments

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### **Appendix: New features in IMASS version 3**

1. Country workbooks are now opened automatically when required, and Excel background calculations are 'off'. This gives a considerable improvement in performance and ease of use.
2. There is no longer a limit on the number of countries that can be included in a country plot or scatter plot. These plots now operate with an 'Update' button, which triggers the automatic opening of each relevant country workbook in turn.
3. An Index button available throughout to return to the Index sheet.
4. Within the mortality, prevalence and consumption sheets, data settings made through drop-down menus are now shared between pages 1-6, and between pages 7-9, rather than throughout the whole sheet. None are shared between sheets.
5. Ranking is now available on country plots.
6. Colours and symbols have been improved.
7. There is improved consistency in the method of selecting Source (sales or survey) and Sex for consumption data.
8. Plot labels and title are now in worksheet cells (in column AB) to allow for easy editing. Previously this had to be done as a chart edit.
9. In multi country and combination plots, any gap in a data series now shows as a gap on the plot, rather than being bridged by a straight line.
10. Selection of alternative tobacco data sources is now done in the main workbook, accessed via the Index page. Alternative data source added for Spain.
11. Mortality and population data have been updated using WHO data as at 2<sup>nd</sup> September 2003.
  - a. Although WHO has added provision for the 85+ age group to be split into 85-89, 90-94 and 95+, such data are available only for very recent years, and they remain combined in IMASS. Population data are now available as single persons rather than hundreds, but they remain as hundreds in IMASS.
  - b. This update has provided one more recent year of mortality data for several countries and 2 more years for Switzerland, but removed 1951 data for France.
  - c. Data for Switzerland for the 10th revision (1995-1997) were previously coded with special "G" codes; these remain for 1995-1996, but 1997 has been recoded and now uses the "ICD 10 Tabulation List 1", as do the new years 1998-1999. This involved minor changes to the definition of COPD, but more major problems with continuity in respiratory diseases (non-acute). Consequently, data for this disease definition are not now included for Switzerland after 1994.
  - d. WHO data codes no longer distinguish between the 6<sup>th</sup> and 7<sup>th</sup> ICD revisions, but the data are unchanged and the start dates for the 7<sup>th</sup> revision are still shown in Table 5 and in the 'Country Details' worksheet of each country workbook.

**Table 1 Availability of mortality data (as at September 2003)**

Country	Deaths				ICD revision – year first used				
	Single years		Periods		6	7	8	9	10
	Start	End	Start	End					
Australia	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	1998
Austria	1955	2001	1951-1955 <sup>a</sup>	2001-2005 <sup>b</sup>	1955	1958	1969	1980	-
Belgium	1954	1996	1951-1955 <sup>a</sup>	1995-2000 <sup>b</sup>	1954	1958	1968	1979	-
Bulgaria	1964	2000	1961-1965 <sup>a</sup>	1996-2000	-	1964	1968	1980	-
Canada	1950	1998	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1969	1979	-
Czechoslovakia <sup>c</sup>	1953	1990	1951-1955 <sup>a</sup>	1986-1990	1953	1958	1968	1979	-
Denmark	1951	1998	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1969	-	1994
Finland	1952	2000	1951-1955 <sup>a</sup>	1996-2000	1952	1958	1969	1987	1996
France	1950 <sup>d</sup>	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	-
Germany <sup>e</sup>	1952	1999	1951-1955 <sup>a</sup>	1996-2000 <sup>b</sup>	1952	1958	1968	1979	1998
Greece <sup>f</sup>	1961	1999	1961-1965	1996-2000 <sup>b</sup>	-	1961	1968	1979	-
Hungary	1955	2001	1951-1955 <sup>a</sup>	2001-2005 <sup>b</sup>	1955	1958	1969	1979	1996
Iceland	1951	1997	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1971	1981	1996
Ireland	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	-
Israel <sup>g</sup>	1950	1998	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1969	1979	1998
Italy	1951	1999	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1968	1979	-
Japan	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	1995
Netherlands	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1969	1979	1996
New Zealand	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	-
Norway	1951	1999	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1969	1986	1996
Poland	1959	2000 <sup>h</sup>	1956-1960 <sup>a</sup>	1996-2000	-	1959	1969	1980	1999
Portugal	1955	2000	1951-1955 <sup>a</sup>	1996-2000	1955	1958	1971	1980	-
Romania	1959	2001 <sup>i</sup>	1956-1960 <sup>a</sup>	2001-2005 <sup>b</sup>	-	1959	1969	1980	1999
Spain	1951	1999	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1968	1980	1999
Sweden	1951	1999	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1969	1987	1997
Switzerland <sup>j</sup>	1951	1999	1951-1955	1996-2000 <sup>b</sup>	1951	1958	1969	-	1995 <sup>j</sup>
UK	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	-
USA	1950	1999	1946-1950 <sup>a</sup>	1996-2000 <sup>b</sup>	1950	1958	1968	1979	1999
USSR <sup>k</sup>	1982	1990	1981-1985 <sup>a</sup>	1986-1990	-	-	-	1982 <sup>k</sup>	-
Yugoslavia <sup>f</sup>	1960	1990	1956-1960 <sup>a</sup>	1986-1990	-	1960	1968	1979	-

- Not used

a First period based on less than 5 years' data – see 'Single years, Start' column

b Final period based on less than 5 years' data – see 'Single years, End' column

c Data for Czechoslovakia for 1991, for Czech Republic from 1985, and for Slovakia from 1992 are available but have not been entered

d 1951 missing

e W Germany (former Federal Republic) to 1990, unified Germany from 1991

f Earlier data are available but with insufficient detail

g Jewish population only to 1974, then total population

h 1997 and 1998 missing

i 1979 missing

j Data for Switzerland under the 10<sup>th</sup> revision are available in less detail than for other countries. See Table 5

k Data for USSR under the 9<sup>th</sup> revision are available in less detail than for other countries, and it is not possible to extract data for an equivalent definition of COPD. See Table 5

**Table 2 Availability of sales-based tobacco data**

Country	Sales data <sup>a</sup>						Tar data <sup>b</sup>	
	MC		TC		A		Start	End
	Start	End	Start	End	Start	End		
Australia	1920	1998	1925 <sup>c</sup>	1994	1920	1994	1969 <sup>d</sup>	1989
Austria	1923	1997	1923	1997	1923	1997	1960	1984
Belgium	1921	1995	1950	1995	1921 <sup>d</sup>	1995	1973 <sup>d</sup>	1993
Bulgaria	1913 <sup>d,e</sup>	1997	x		1913 <sup>d,e</sup>	1997	x	
Canada	1920	1995	1955	1995	1920	1955	1968	1981
Czechoslovakia	1923 <sup>d,f</sup>	1992	x		1923	1934	x	
Denmark	1920	1995	1950	1995	1920	1995	1964	1990
Finland	1920	1995	x		1920	1995	1970 <sup>d</sup>	1992
France	1865 <sup>c</sup>	1997	1950	1997	1865 <sup>c</sup>	1997	1950 <sup>d</sup>	1997
Germany <sup>g</sup>	1906 <sup>d,h</sup>	1995	1906 <sup>d,i</sup>	1995	1925 <sup>d,f</sup>	1995	1966 <sup>d</sup>	1985
Greece	1920	1995	x		1920	1995	x	
Hungary	1920 <sup>d,j</sup>	1995	1940 <sup>d,k</sup>	1988	1920 <sup>d,k</sup>	1988	x	
Iceland	1932	1995	x		1862 <sup>c</sup>	1995	x	
Ireland	1920	1995	1950	1995	1920	1995	x	
Israel	1967	1995	x		1970 <sup>c</sup>	1989	x	
Italy	1921 <sup>c</sup>	1995	1951 <sup>c</sup>	1995	1921 <sup>c</sup>	1995	1984 <sup>d</sup>	1993
Japan	1920	1995	1920	1984	1920	1984	1969	1995
Netherlands	1923 <sup>d,f</sup>	1997	1960	1997	1923 <sup>d,f</sup>	1995	x	
New Zealand	1920	1995	1950	1995	1920	1995	1990 <sup>d</sup>	1995
Norway	1927	1995	1939 <sup>d,f</sup>	1995	1927	1995	1970 <sup>d</sup>	1980
Poland	1925 <sup>c,f</sup>	1995	x		1925 <sup>c,f</sup>	1995	x	
Portugal	1940	1998	1950 <sup>d</sup>	1992	1940 <sup>d</sup>	1992	x	
Romania	1920 <sup>d,e</sup>	1995	x		1920 <sup>d,e</sup>	1995	x	
Spain	1927 <sup>d</sup>	1995	1957 <sup>d</sup>	1993	1927 <sup>d</sup>	1993	x	
Sweden	1920	1995	1950	1995	1920	1995	1964	1980
Switzerland	1934 <sup>c</sup>	1997	x		1934 <sup>c</sup>	1973	1970	1978
UK	1880 <sup>c</sup>	1998	1931 <sup>d,f</sup>	1998	1880 <sup>c</sup>	1997	1921 <sup>c</sup>	1997
USA	1900 <sup>c</sup>	1995	1949	1995	1920	1995	1954	1995
USSR	1960	1994	x		1960	1994	x	
Yugoslavia	1922 <sup>d,e</sup>	1993	x		1922	1935	x	

x No data

a This table shows start and end of single year data. Missing intermediate years are indicated by footnotes c or d. Data are presented by 5-year periods, using an average of the available years when the full 5 years are not available, and intermediate missing periods are indicated by other footnotes. ISS2 presented data up to 1995, Later data have been entered occasionally, but no consistent attempt was made to collect later data.

b This table shows start and end of single year data. Missing intermediate years are indicated by footnote d. Data are used for tar-adjustment as 5-year averages, using, for years with no data available, an estimate of 35 mg/cigarette up to 1955 and linear interpolation between available data points thereafter, and, if applicable, an average based on less than 5 years data in the final period. So there are no intermediate missing periods.

c Early data only available at 3 or 5 yearly intervals

d Data missing for some intermediate years, for details see the lower part of the **Tobacco**

**Data** worksheet for the relevant country. (Also Tables 1-2 of the relevant chapter in ISS2)

e Periods 1936-...-1955 Missing

f Period 1941-45 Missing

g Unified up to 1938 and from 1991, otherwise West

h Periods 1916-20, 1941-45 Missing

i Periods 1916-...-1930, 1941-45 Missing

j Periods 1941-...-1955 Missing

k Periods 1941-...-1985 Missing

**Table 3** Availability of survey-based tobacco data

Country	Contemporary surveys (ISS2)										Alternative (retrospective) surveys (see also Table 4)
	Prevalence						CPP				
	MC		TC		A		MC		TC		
	Start	End	Start	End	Start	End	Start	End	Start	End	
Australia	1976-80	1986-90	1971-75	1991-95	1941-45	1991-95	1976-80	1986-90	1971-75	1991-95	ABS-NHS, Hyndman
Austria	1951-55	1991-95	1951-55	1991-95	1951-55	1991-95	1971-75	1991-95	1971-75	1991-95	x
Belgium	1971-75	1986-90	1961-65	1981-85	1951-55	1991-95	1976-80	-	1976-80	1981-85	x
Bulgaria	1986-90 <sup>a</sup>	-	1986-90 <sup>a</sup>	-	1986-90 <sup>a</sup>	-	x		x		x
Canada	x		1956-60 <sup>b</sup>	1991-95	1966-70 <sup>c</sup>	1991-95	x		1961-65	1991-95	Ferrence
Czechoslovakia	1961-65 <sup>d</sup>	1986-90	1961-65 <sup>d</sup>	1986-90	1961-65 <sup>d</sup>	1986-90	1981-85	1986-90	1981-85	1986-90	x
Denmark	1976-80	-	1951-55	1991-95	1951-55	1991-95	1971-75	-	1951-55	1991-95	x
Finland	1956-60 <sup>d</sup>	1991-95 <sup>e</sup>	1956-60 <sup>d</sup>	1991-95 <sup>e</sup>	1956-60	1991-95	1956-60 <sup>d</sup>	1986-90	1956-60 <sup>d</sup>	1986-90	x
France	1956-60	1991-95	1951-55	1991-95	1951-55	1991-95	1976-80	-	1961-65	1991-95	x
Germany	1956-60	1981-85	1946-50	1991-95	1946-50	1991-95	1966-70	1981-85	1966-70 <sup>f</sup>	1991-95	Brenner, Heuer
Greece	1956-60 <sup>g</sup>	1991-95	1956-60 <sup>g</sup>	1991-95	1956-60 <sup>g</sup>	1991-95	1956-60 <sup>g</sup>	1986-90	1956-60 <sup>g</sup>	1986-90	x
Hungary	1961-65 <sup>d</sup>	1991-95	1961-65 <sup>d</sup>	1991-95	1961-65 <sup>d</sup>	1991-95	1966-70	1991-95	1966-70	1991-95	HPGS
Iceland	1981-85	1991-95	1981-85	1991-95	1966-70 <sup>g</sup>	1991-95	1981-85	-	1981-85	-	x
Ireland	1956-60 <sup>c</sup>	1991-95	1956-60 <sup>c</sup>	1991-95	1961-65	1991-95	1956-60 <sup>d</sup>	1986-90	1956-60 <sup>d</sup>	1986-90	x
Israel	1961-65 <sup>g</sup>	1991-95	1961-65 <sup>g</sup>	1991-95	1961-65 <sup>g</sup>	1991-95	1961-65 <sup>g</sup>	1991-95 <sup>e</sup>	1961-65 <sup>g</sup>	1991-95 <sup>e</sup>	x
Italy	1946-50	1991-95	1946-50	1991-95	1961-65	1991-95	1946-50	1991-95	1946-50	1991-95	LaVecchia
Japan	1946-50	1991-95	1946-50	1991-95	1946-50	1991-95	1956-60 <sup>d</sup>	1991-95	1956-60 <sup>d</sup>	1991-95	x
Netherlands	1966-70	1981-85	1956-60	1981-85 <sup>e</sup>	1946-50 <sup>g</sup>	1991-95	1976-80	-	1956-60	1991-95	x
New Zealand	x		1956-60 <sup>c</sup>	1991-95	1956-60 <sup>c</sup>	1991-95	1956-60 <sup>c</sup>	1986-90 <sup>e</sup>	1956-60 <sup>c</sup>	1986-90 <sup>e</sup>	x
Norway	x		1951-55	1991-95	1951-55	1991-95	x		1956-60	1991-95	Ronneberg
Poland	1956-60	1991-95	1956-60	1991-95	1956-60	1991-95	1956-60	1986-90	1956-60	1986-90	x
Portugal	1971-75	1991-95	1971-75	1991-95	1971-75	1991-95	1986-90	1991-95	1986-90	1991-95	x
Romania	1966-70	1991-95	1966-70	1991-95	1966-70	1991-95	1966-70	-	1966-70	-	x
Spain	1966-70	1991-95	1966-70	1991-95	1966-70	1991-95	1966-70 <sup>g</sup>	1991-95	1966-70 <sup>g</sup>	1991-95	Fernandez
Sweden	1961-65	1991-95	1961-65	1991-95	1946-50	1991-95	1961-65	1991-95	1961-65	1991-95	Nordlund
Switzerland	1956-60 <sup>g</sup>	1986-90	1956-60 <sup>g</sup>	1986-90	1956-60 <sup>g</sup>	1991-95	1956-60 <sup>g</sup>	1981-85	1956-60 <sup>g</sup>	1981-85	SOMIPOPS
UK	1946-50	1986-90	1956-60 <sup>c</sup>	1991-95	1926-30	1991-95	1946-50	1986-90	1971-75	1991-95	HALS, AHIP
USA	1931-35	1991-95	1931-35	1991-95	1946-50	1991-95	1946-50	1991-95	1946-50	1991-95	Harris
USSR	1971-75	1986-90	1971-75	1986-90	1971-75	1986-90	1971-75	1986-90	1971-75	1986-90	x
Yugoslavia	1956-60 <sup>d</sup>	1986-90	1956-60 <sup>d</sup>	1986-90	1956-60 <sup>d</sup>	1986-90	1956-60 <sup>f</sup>	1986-90	1956-60 <sup>f</sup>	1986-90	x

x No data

- Data for single period

a Restricted age range for females

b Restricted age range for initial period(s) for females

c Restricted age range for initial period(s)

d Restricted age range for initial period(s) for males, females start later

e Restricted age range for final period(s)

f Restricted age range for initial period(s), females start later

g Females start later



**Table 4 Availability of data from retrospective survey-based tobacco data**

Country	Name	Source	Periods		Product <sup>a</sup>	Notes
			Start	End		
Australia	ABS-NHS	Australian Bureau of Statistics (personal communication). Based on 1989-90 National Health Survey.	1906-1910 <sup>b</sup>	1986-1990	MC	c,d,e,f
Australia	Hyndman	Hyndman J, Hobbs M, Jamrozik K, Hockey R, Parsons R. A retrospective cohort study of smoking habits in Australia. In: Durston B, Jamrozik K, editors. Tobacco and health 1990. The global war, Proceedings of the 7th world conference on tobacco and health, Perth, Western Australia, 1st-5th April 1990. 189 Royal Street, East Perth, Western Australia 6004: Organising committee of the Seventh World Conference on Tobacco and Health, 1990;264-7.	1906-1910 <sup>b</sup>	1976-1980 <sup>g</sup>	A	f,h,i
Canada	Ferrence	Ferrence RG. Sex differences in cigarette smoking in Canada, 1900-1978: a reconstructed cohort study. Can J Public Health 1988;79:160-5.	1911-1915	1976-1980 <sup>g</sup>	TC	e,f,h,i
Germany	Brenner	Brenner H. A birth cohort analysis of the smoking epidemic in West Germany. J Epidemiol Community Health 1993;47:54-8.	1926-1930	1981-1985	TC	e,f,h,i,j
Germany	Heuer	Heuer C, Becker N. Smoking prevalence and lung cancer mortality in Germany. J Epidemiol Biostat 1999;4:45-52.	1921-1925	1991-1995	TC	j,k,l
Hungary	HGPS	Estimates derived at PNLSC from Hungarian General Practitioners Study	1936-1940	1991-1995	MC,TC	e,f,h
Italy	LaVecchia	La Vecchia C, Decarli A, Pagano R. Prevalence of cigarette smoking among subsequent cohorts of Italian males and females. Prev Med 1986;15:606-13.	1906-1910	1976-1980	TC	c,d,e,f,j
Norway	Ronneberg	Ronneberg A, Lund KE, Hafstad A. Lifetime smoking habits among Norwegian men and women born between 1890 and 1974. Int J Epidemiol 1994;23:267-76.	1906-1910	1986-1990	A	k
Spain	Fernandez	Fernandez E, Schiaffino A, Borràs JM, Shafey O, Villalbi JR, La Vecchia C. Prevalence of cigarette smoking by birth cohort among males and females in Spain, 1910-1990. Eur J Cancer Prev 2003;12:57-62	1916-1920	1986-1990	TC	b,c,d,e,f,j
Sweden	Nordlund	Nordlund LA. Trends in smoking habits and lung cancer in Sweden. Eur J Cancer Prev 1998;7:109-16.	1916-1920	1986-1990	A	c,k,m
Switzerland	SOMIPOPS	Estimates derived at PNLSC from SOMIPOPS (Swiss National Health Survey) 1981-83. These estimates should be regarded with caution due to some problems in the data set	1916-1920	1981-1985 <sup>g</sup>	A	e,f
UK	HALS	ISS2 Appendix V – Health and Lifestyle Study	1916-1920	1981-1985	MC,A	c,e,f
UK	AHIP	ISS2 Appendix V – Alderson Hospital In Patient Study	1916-1920	1976-1980	MC,A	c,e,f
USA	Harris	Harris JE. Cigarette smoking among successive birth cohorts of men and women in the United States during 1900-80. J Natl Cancer Inst 1983;71:473-9.	1901-1905	1976-1980	TC	e,f,h,i

a All data are prevalence, except AHIP, which has both prevalence and consumption per person data

b Females start later

c Data relate to a single year

d Data given at 10-yearly intervals, so alternate periods missing

e Based on 10 year cohorts so pairs of adjacent age groups have duplicate data

f Retrospective data

g Final period based on less than 5 years

h Single year data have been averaged to give period

i Data extracted from graph

j Corrected for differential mortality

k Early data retrospective, combined with later contemporary surveys

l Data as given for periods 1920-24 have been entered for 1921-25 etc

m Data published as graph, table supplied in personal communication from author

**Table 5**      **Definitions of causes of death**

ICD Revision	Lung Cancer		IHD	
	Summary	Full	Summary	Full
6 <sup>th</sup> and 7 <sup>th</sup>	A050	162 = malignant neoplasm of bronchus and trachea, and of lung specified as primary 163 = malignant neoplasm of lung, unspecified	A081	420 = arteriosclerotic heart disease, including coronary disease 421 = chronic endocarditis, not specified as rheumatic 422 = other myocardial degeneration
8 <sup>th</sup>	A051	162 = malignant neoplasm of trachea, lung and bronchus	A083	410 = AMI 411 = other acute and subacute IHD 412 = chronic IHD 413 = angina pectoris 414 = asymptomatic IHD
9 <sup>th</sup>	B101	162 = malignant neoplasm of trachea, lung and bronchus	B27	410 = AMI 411 = other acute and subacute IHD 412 = old MI 413 = angina pectoris 414 = other forms of chronic IHD
10 <sup>th</sup> (except Switzerland)	C33 = malignant neoplasm of trachea C34 = malignant neoplasm of bronchus and lung		I20 = angina pectoris I21 = AMI I22 = subsequent MI I23 = certain current complications following acute MI I24 = other acute IHD I25 = chronic IHD	
10 <sup>th</sup> (Switzerland 1995-1996)	G026	Same as other countries	G051, G052	Same as other countries
10 <sup>th</sup> (Switzerland 1997 on)	1034	Same as other countries	1067	Same as other countries

AMI acute myocardial infarction  
IHD ischaemic heart disease  
MI myocardial infarction

Table 5 Definitions of causes of death - continued/1

ICD Revision	COPD		Respiratory Diseases (non-acute)	
	Summary	Full	Summary	Full
6 <sup>th</sup> and 7 <sup>th</sup>	A093	501 = bronchitis unqualified 502 = CB	As COPD + A094	510 = hypertrophy of tonsils and adenoids
	A097	511 = peritonsillar abscess 512 = chronic pharyngitis and nasopharyngitis 513 = chronic sinusitis 514 = deflected nasal septum 515 = nasal polyp 516 = chronic laryngitis 517 = other diseases of URT 520 = spontaneous pneumothorax 522 = pulmonary congestion and hypostasis 523 = pneumoconiosis due to silica and silicates (occupational) 524 = other specified pneumoconiosis and pulmonary fibrosis of occupational origin 525 = other chronic interstitial pneumonia 526 = bronchiectasis 527 = other diseases of lung and pleural cavity ( <b>including emphysema</b> )	A095 A096	518, 521 = empyema and abscess of lung 519 = pleurisy
8 <sup>th</sup>	A093	490 = bronchitis, unqualified 491 = CB 492 = emphysema 493 = asthma	As COPD + A094 A095	500 = hypertrophy of tonsils and adenoids 510 = empyema 513 = abscess of lung
	A096	501 = peritonsillar abscess 502 = chronic pharyngitis and nasopharyngitis 503 = chronic sinusitis 504 = deflected nasal septum 505 = nasal polyp 506 = chronic laryngitis 507 = hay fever 508 = other diseases of URT 511 = pleurisy 512 = spontaneous pneumothorax 514 = pulmonary congestion and hypostasis 515 = pneumoconiosis due to silica and silicates 516 = other pneumoconiosis and related diseases 517 = other chronic interstitial pneumonia 518 = bronchiectasis 519 = other diseases of respiratory system ( <b>including COPD</b> )		

CB chronic bronchitis

COPD chronic obstructive pulmonary disease

URT upper respiratory tract

Table 5 Definitions of causes of death - continued/2

ICD Revision	COPD		Respiratory Diseases (non-acute)	
	Summary	Full	Summary	Full
9 <sup>th</sup> (except USSR)	B323	490 = bronchitis, not specified as acute or chronic 491 = CB 492 = emphysema 493 = asthma	As COPD + B313 B314 B315 B319	470,471 = deflected nasal septum and nasal polyps 472,473 = chronic pharyngitis, nasopharyngitis and sinusitis 474 = chronic diseases of tonsils and adenoids 475-478 = peritonsillar abscess, chronic laryngitis, laryngotracheitis, allergic rhinitis, other diseases of URT
	B324	494 = bronchiectasis	B326	500-508 = pneumoconiosis and other lung disease due to external agents
	B325	495 = extrinsic allergic alveolitis 496 = chronic airways obstruction NEC ( <b>including COPD</b> )	B327 B329	511 = Pleurisy 510, 512-519 = empyema; pneumothorax; abscess of lung and mediastinum; pulmonary congestions and hypostasis; post inflammatory pulmonary fibrosis; other alveolar and parietoalveolar pneumopathy; lung involvement in conditions classified elsewhere; other diseases of lung; other diseases of respiratory system
9 <sup>th</sup> (USSR)	not possible		S329, B323	Same as other countries
10 <sup>th</sup> (except Switzerland)	J40 = bronchitis, not specified as acute or chronic J41 = simple and mucopurulent CB J42 = unspecified CB J43 = emphysema J44 = other COPD J45 = asthma J46 = status asthmaticus J47 = bronchiectasis J67 = hypersensitivity pneumonitis due to organic dust (farmer's lung etc)		As COPD + J30-J39 = vasomotor and allergic rhinitis; chronic rhinitis, nasopharyngitis and pharyngitis; chronic sinusitis; nasal polyp; other disorders of nose and nasal sinuses; chronic disease of tonsils and adenoids; peritonsillar abscess; chronic laryngitis and laryngotracheitis; diseases of vocal chords and larynx NEC; other diseases of URT J60-J66 = pneumoconiosis (coalworker's; due to asbestos and other mineral fibres; due to dust containing silica; due to other inorganic dust; unspecified; associated with tuberculosis) J68-J70 = RC due to inhalation of chemicals, gases etc; pneumonitis due to solids and liquids; RC due to other external agents J80-J82 = adult respiratory distress syndrome; pulmonary oedema; pulmonary eosinophilis NEC J84-J86 = other interstitial pulmonary diseases; abscess of lung and mediastinum; pyothorax J90-J94 = other disease of pleura J95-J96 = postprocedural RD NEC; respiratory failure NEC J98-J99 = other RD; RD in DCE	
10 <sup>th</sup> (Switzerland 1995-1996)	G063	J40-J46, i.e. same as other countries except excludes J47 = bronchiectasis and J67 = hypersensitivity pneumonitis due to organic dust (farmer's lung etc)	not possible	
10 <sup>th</sup> (Switzerland 1997 on)	1076	J40-J47, i.e. same as other countries except excludes J67 = hypersensitivity pneumonitis due to organic dust (farmer's lung etc)	not possible	
CB chronic bronchitis COPD chronic obstructive pulmonary disease DCE diseases classified elsewhere NEC not elsewhere classified			RC respiratory conditions RD respiratory disorders URT upper respiratory tract	



### Example 1T Mortality Plots Page 1 (Associated Data Table)

#### Lung Cancer Mortality Rate for Period (Rows) and Age group (Columns)

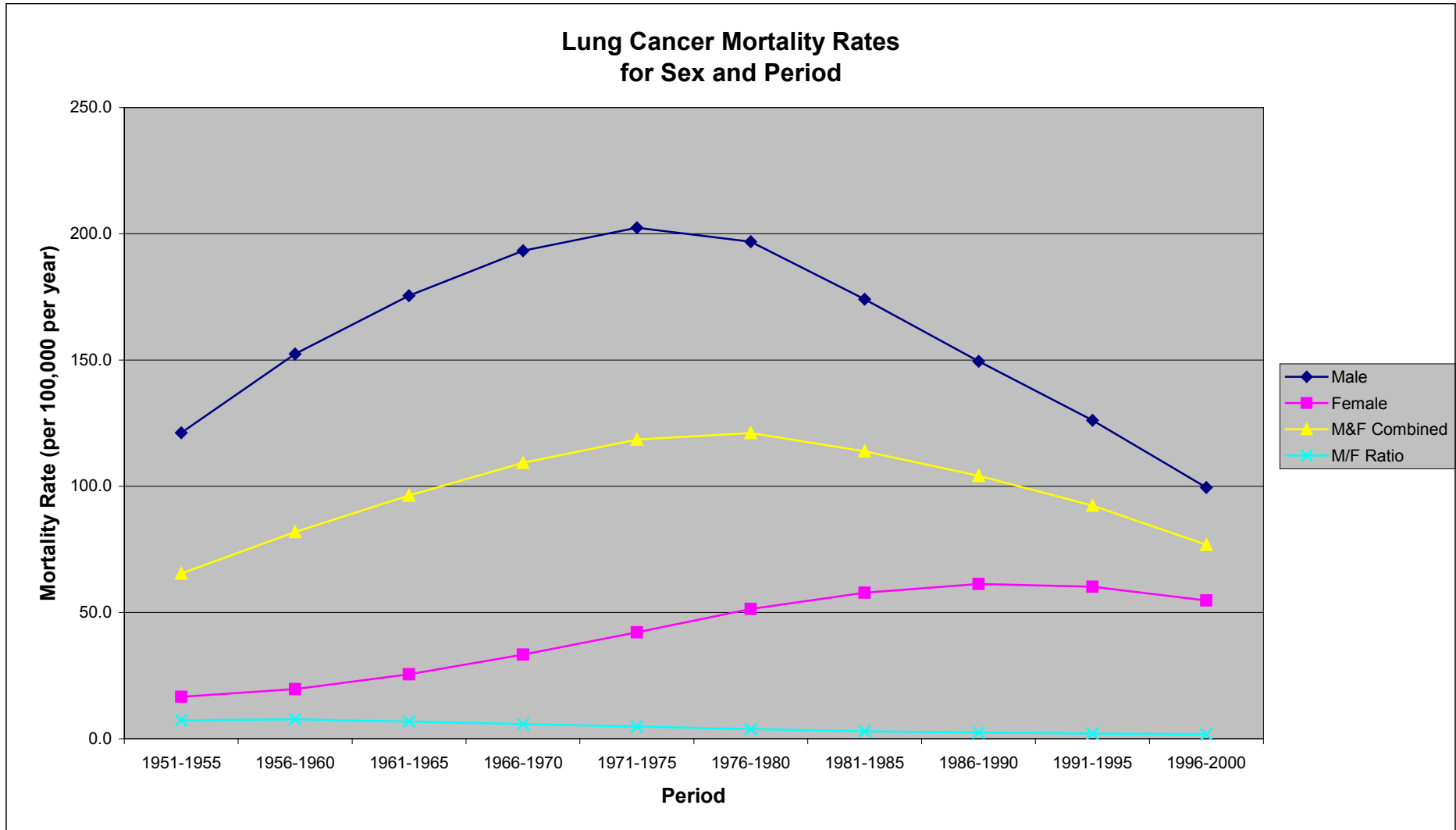
Country	Sex		Disease												
UK	Males		Lung Cancer												
Country Ratio (denominator)	15-19	20-24	25-29	30-34	35-39	40-44	45-49	50-54	55-59	60-64	65-69	70-74	75-79	80-84	85+
1851-1855															
1856-1860															
1861-1865															
1866-1870															
1871-1875															
1876-1880															
1881-1885															
1886-1890															
1891-1895															
1896-1900															
1901-1905															
1906-1910															
1911-1915															
1916-1920															
1921-1925															
1926-1930															
1931-1935															
1936-1940															
1941-1945															
1946-1950	0.38	0.41	2.03	3.94	8.60	25.49	58.36	111.73	160.98	207.86	208.26	177.70	136.35	98.90	52.49
1951-1955	0.17	0.72	1.35	3.67	10.14	24.99	58.68	123.17	200.22	253.19	287.30	253.70	198.64	139.08	88.13
1956-1960	0.17	0.40	1.34	3.56	9.49	25.59	59.43	125.27	230.74	333.30	389.91	381.86	324.17	217.75	140.24
1961-1965	0.16	0.48	1.17	3.30	9.44	22.66	56.77	123.57	231.58	369.36	484.46	494.34	450.27	332.44	200.81
1966-1970	0.22	0.47	1.04	2.49	7.50	22.13	53.45	115.11	222.71	373.28	529.66	621.39	597.39	473.07	335.95
1971-1975	0.07	0.35	0.91	2.33	5.97	17.96	50.83	107.90	208.53	354.53	519.89	682.12	722.75	606.68	433.31
1976-1980	0.04	0.16	0.53	1.77	5.49	14.05	39.92	100.98	190.55	334.61	498.48	674.41	804.57	769.55	570.43
1981-1985	0.01	0.11	0.43	1.28	4.23	12.00	32.10	77.86	171.91	300.44	455.35	630.10	771.18	835.75	691.60
1986-1990	0.02	0.13	0.16	0.83	3.43	10.41	27.61	60.01	135.37	268.51	412.17	582.82	711.65	794.42	713.27
1991-1995	0.02	0.09	0.22	0.74	2.69	8.63	22.80	56.14	108.20	207.17	365.37	504.88	627.91	716.99	677.03
1996-2000	0.01	0.07	0.17	0.49	1.83	6.85	18.46	43.42	95.08	164.23	284.61	438.58	553.00	614.69	579.57



### Example 3 Mortality Plots Page 5

**Country** UK ▼     
 **Cohort or Age group** Combined 35 - 74 ▼     
 **Disease** Lung Cancer ▼

**Country Ratio (denominator)** None (Single Country) ▼     
 **Relative Scaling Period** None ▼





**Example 4 Mortality Plots Page 8**

Sex

Cohort or Age group

Disease

Males



Combined 40 - 69 [E Std]

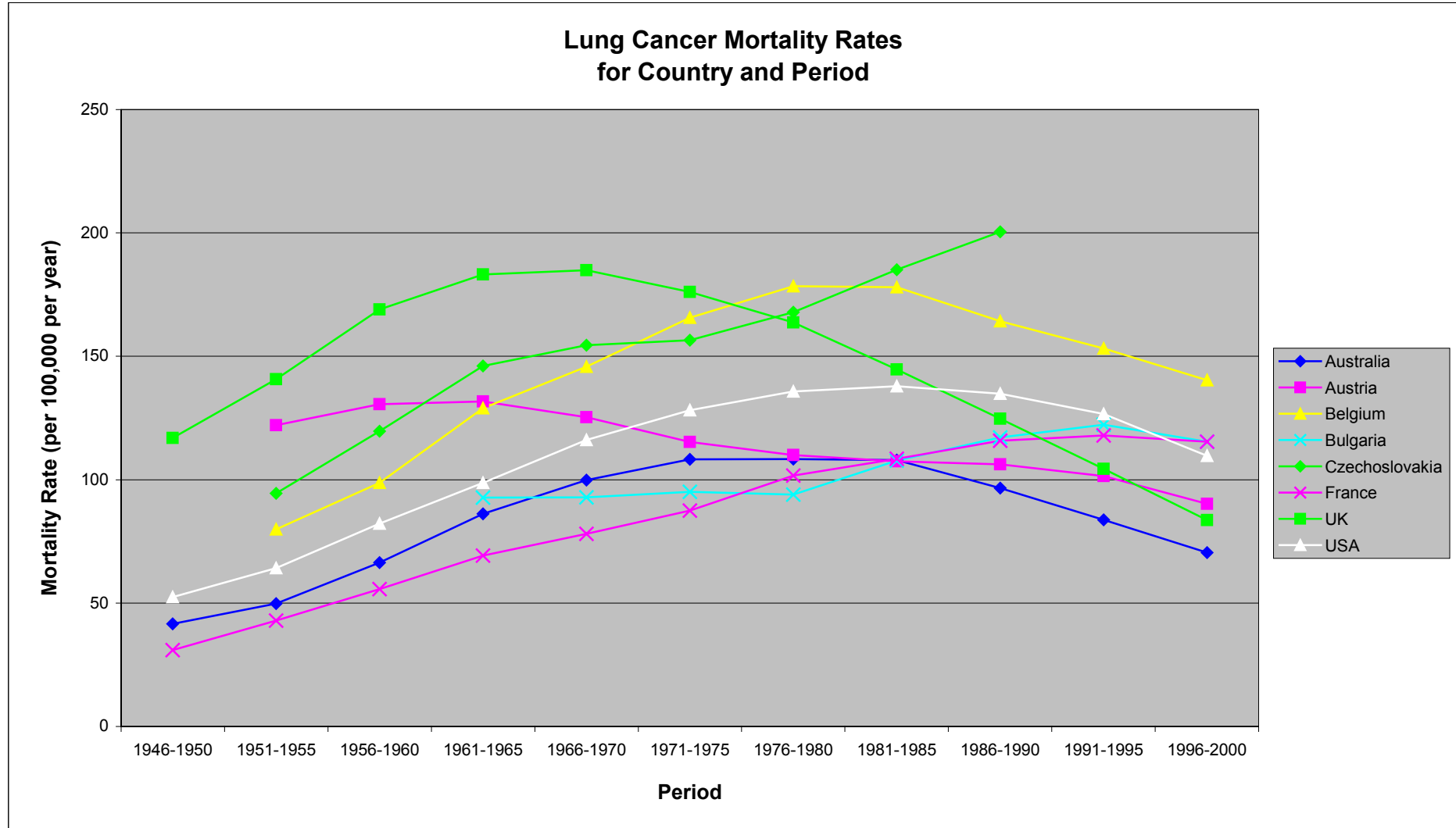


Lung Cancer



Relative Scaling Period

None





**Example 6 Mortality Plots Page 8 (Sex Ratio)**

Sex

M / F Sex Ratio

Cohort or Age group

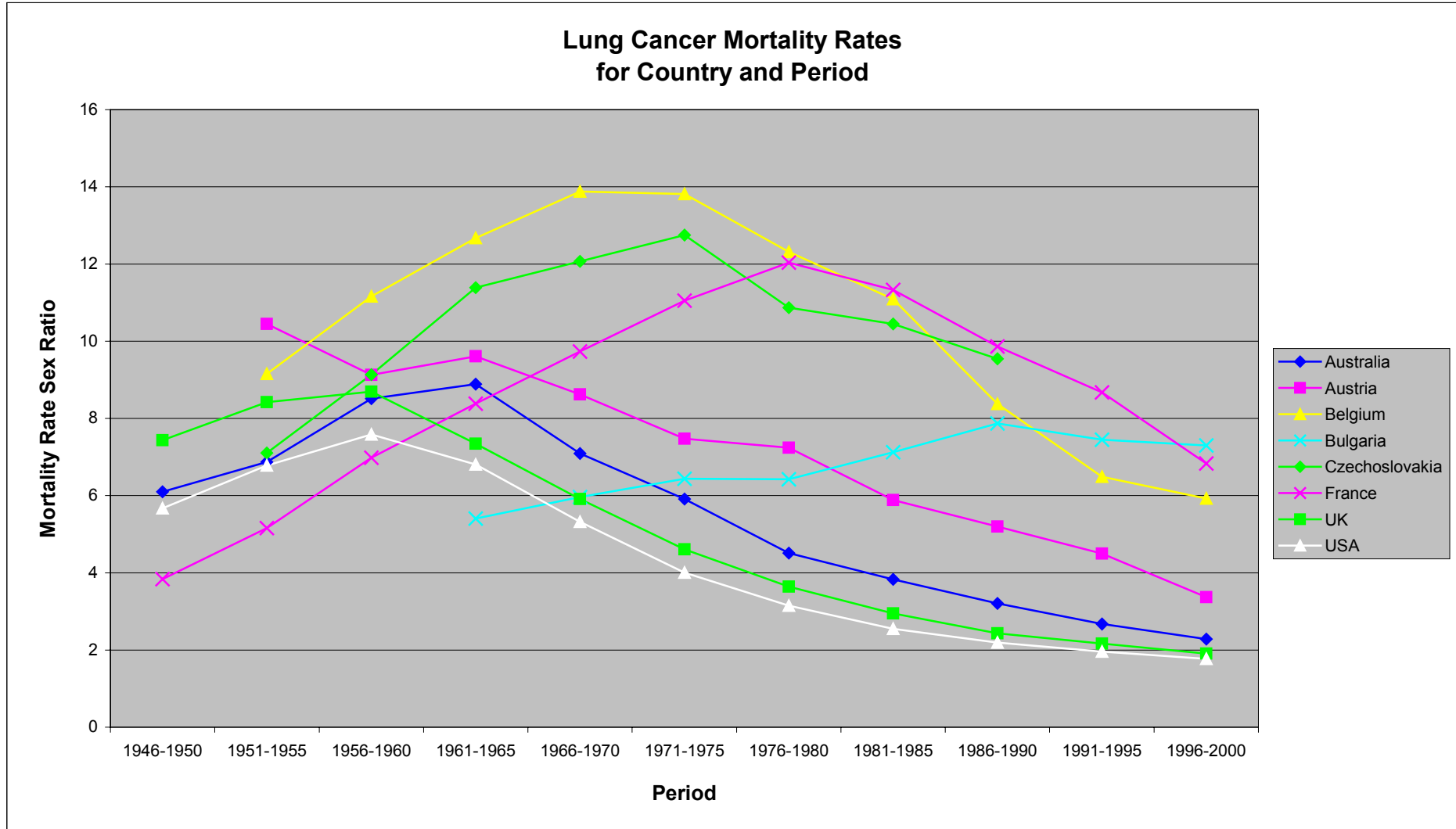
Combined 40 - 69 [E Std]

Disease

Lung Cancer

Relative Scaling Period

None



Example 7 Mortality Rank Plots Page 8

Sex : Males

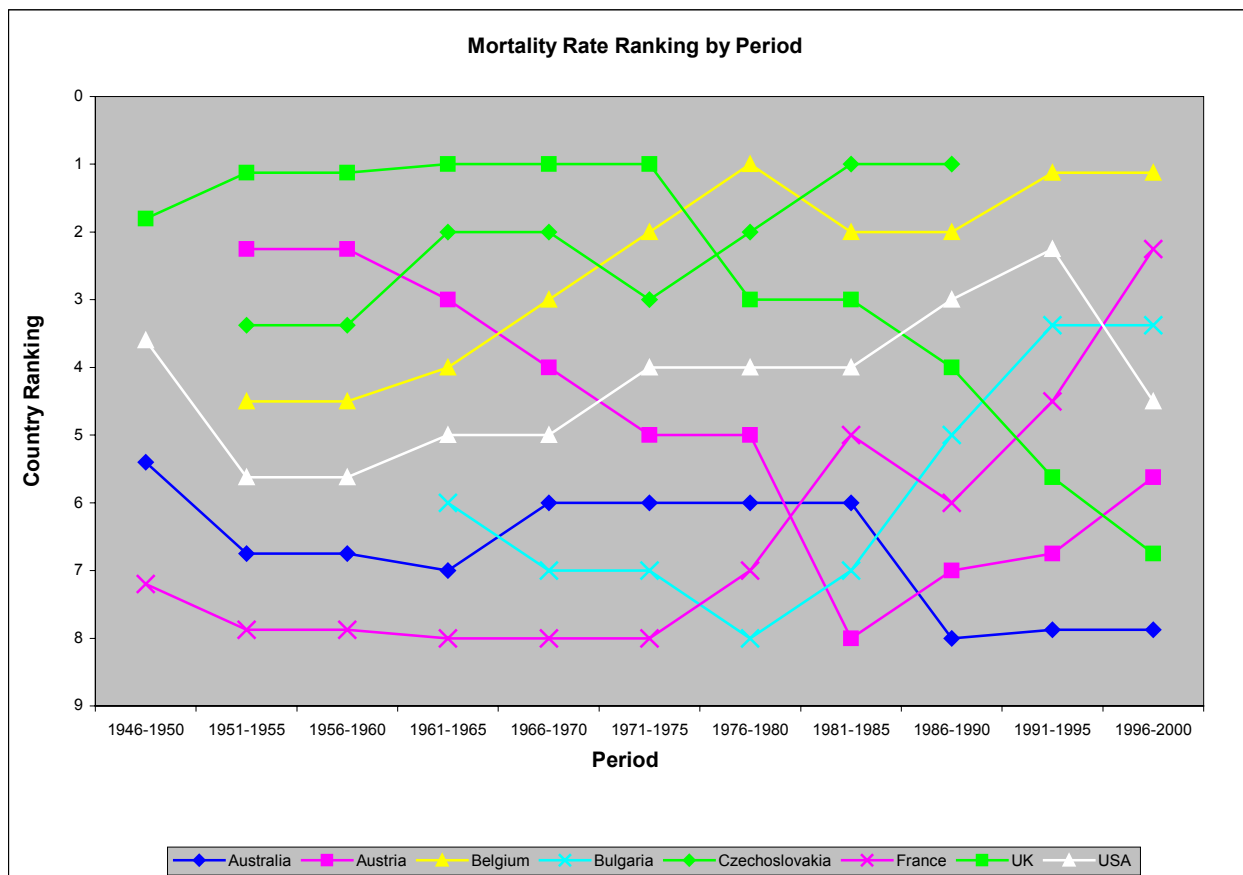
Disease : Lung Cancer

Combined 40 - 69 [E Std]

Relative Scale Period : None

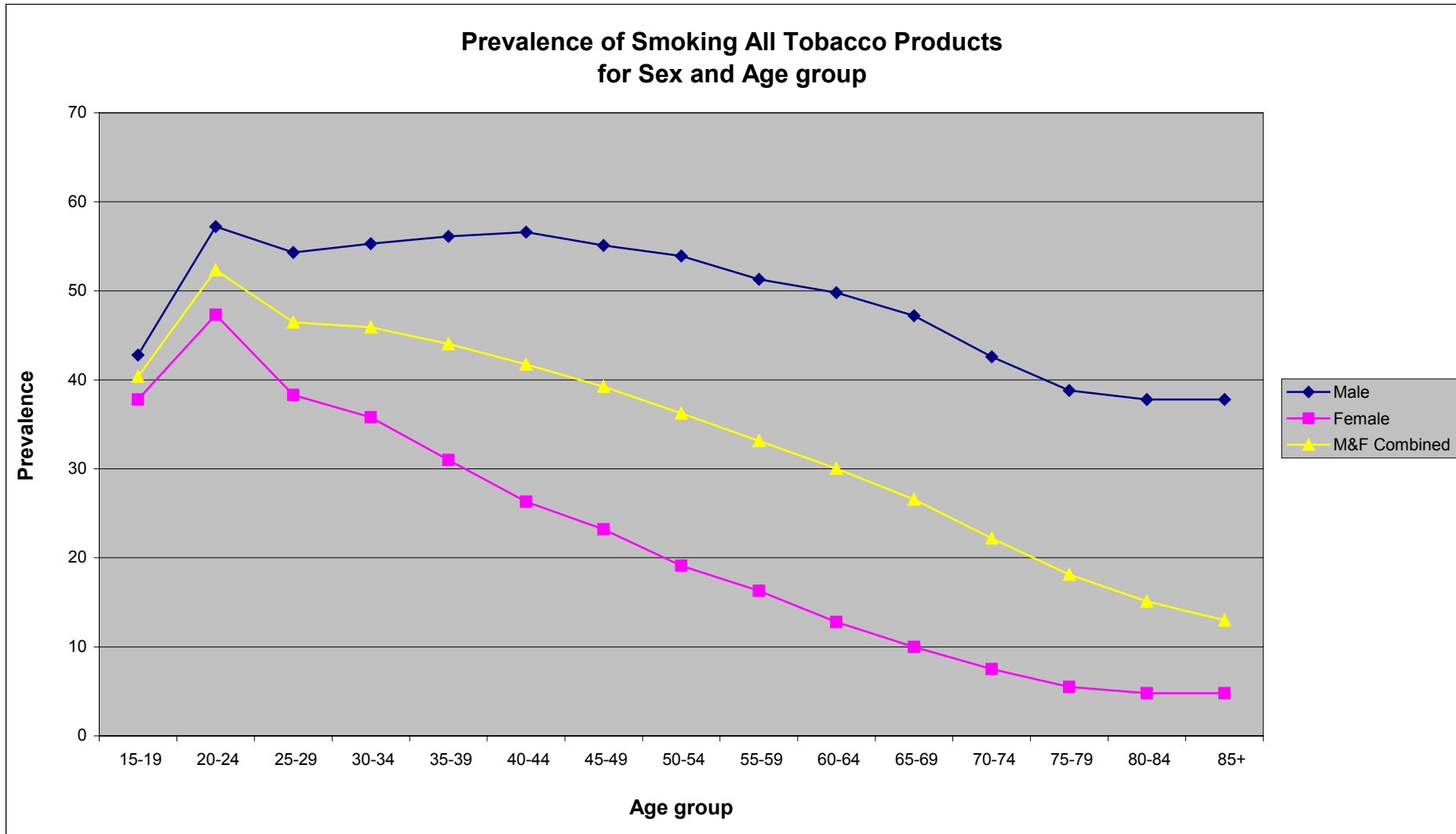
Rank Adjustment

Normalised ▼



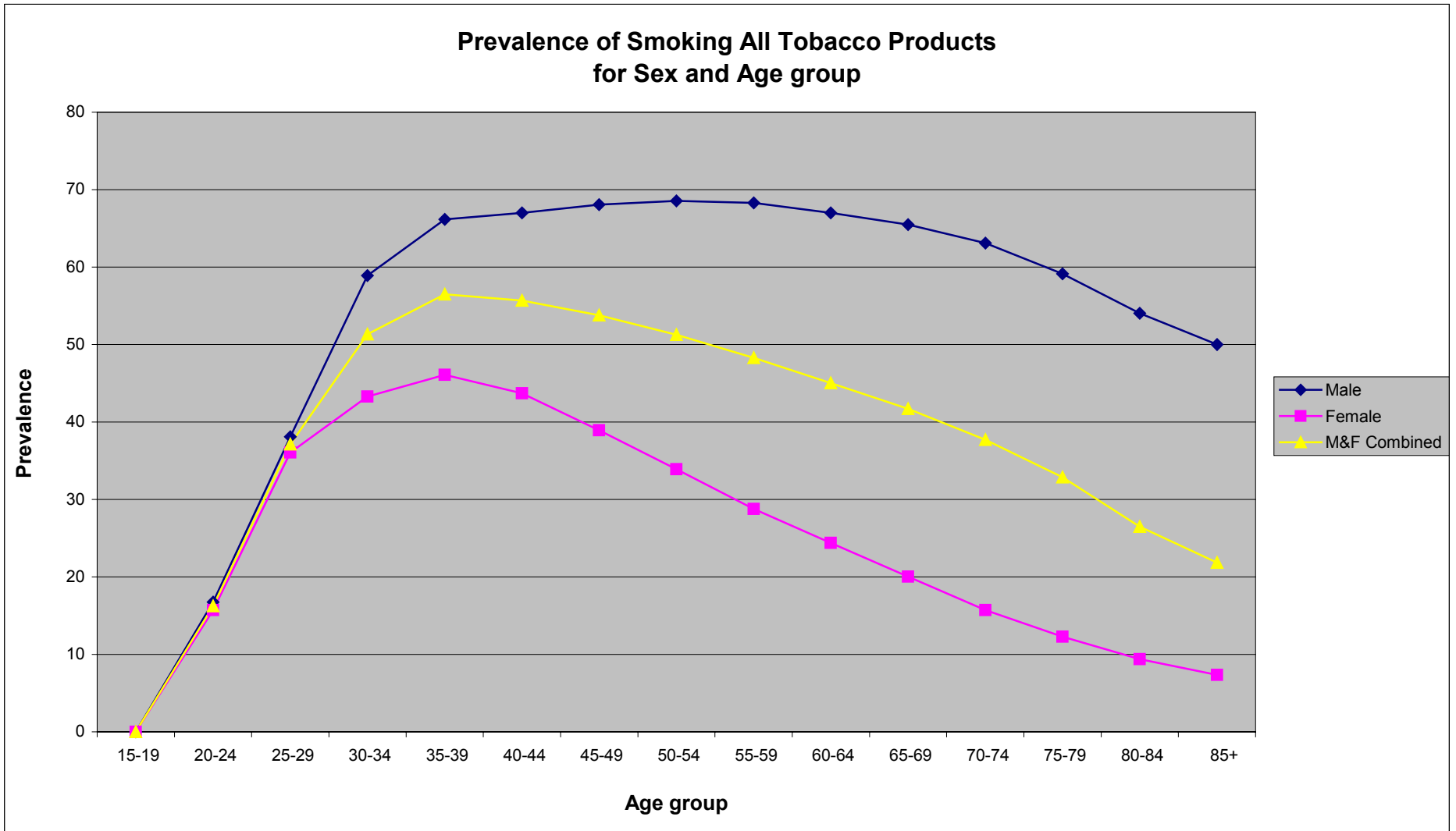
### Example 8 Prevalence Plots Page 4

<b>Country</b>	<b>Period or Cohort</b>	<b>Tobacco Type</b>	<b>----- Period for Lagging or Averaging -----</b>	
France	Period	All tobacco products (A)	<b>Start (Youngest)</b>	<b>End (Oldest)</b>
	1976-1980		0 (Current)	0 (Current)
<b>Country Ratio (denominator)</b>				
None (Single Country)				



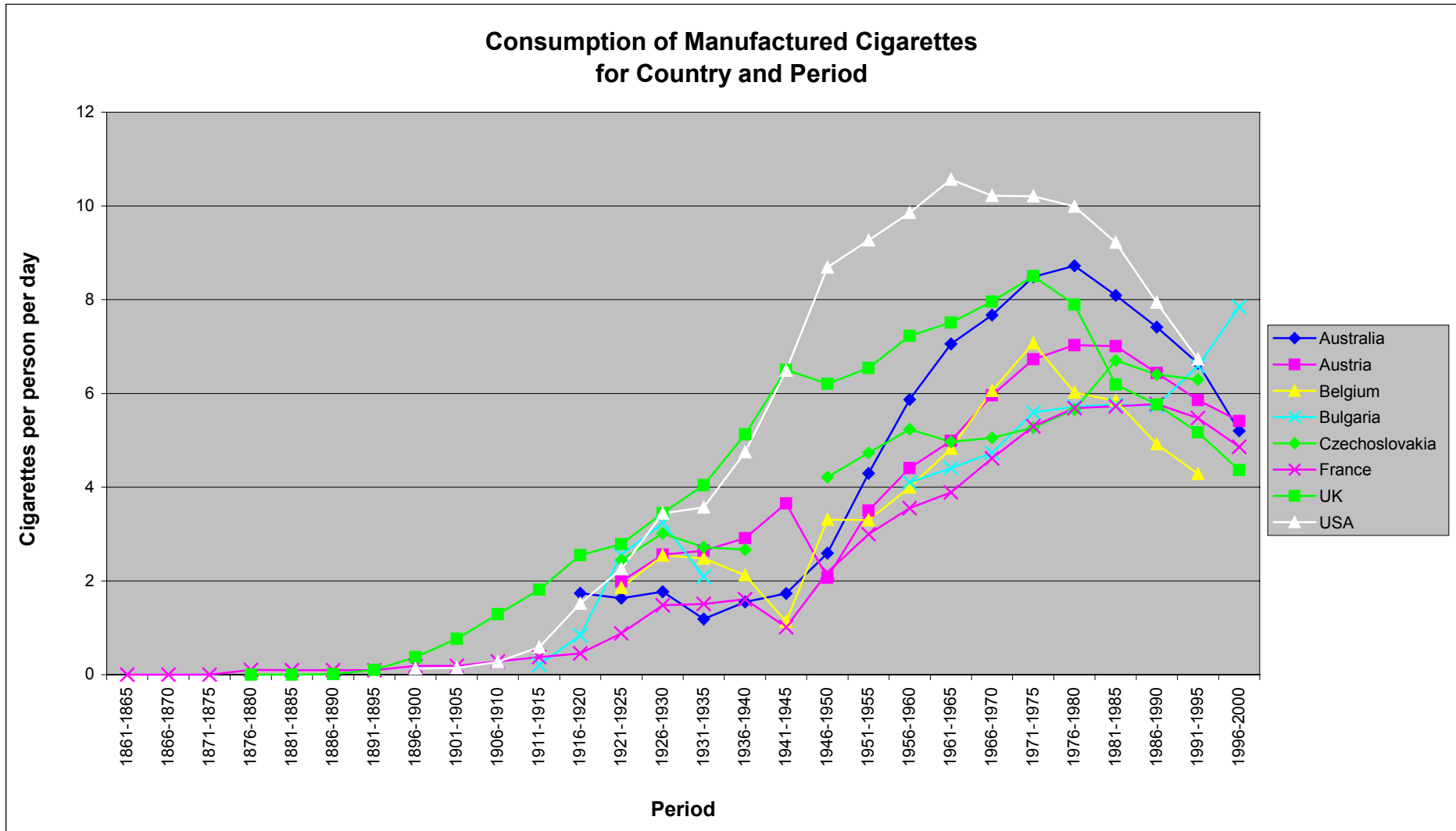
**Example 9 Mortality Plots Page 4 (Averaged 15 to 5 years previous)**

<b>Country</b>	<b>Period or Cohort</b>	<b>Tobacco Type</b>	<b>----- Period for Lagging or Averaging -----</b>	
France	Period	All tobacco products (A)	<b>Start (Youngest)</b>	<b>End (Oldest)</b>
	1976-1980		15 Years previous	5 Years previous
<b>Country Ratio (denominator)</b>				
None (Single Country)				



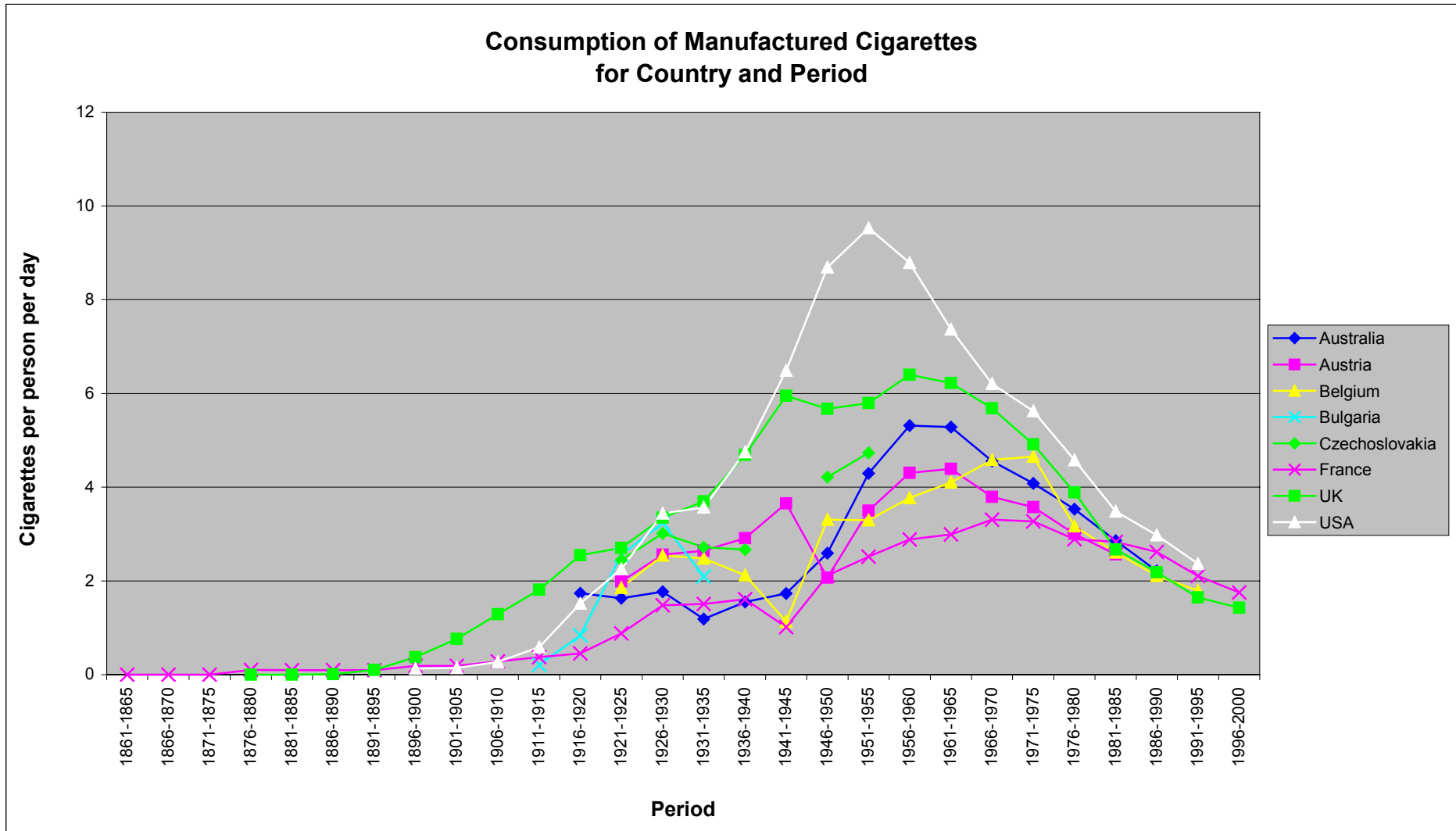
Example 10 Consumption Plots Page 8

Sex & Source: Males & Females (Sales) ▼  
 Cohort or Age group: Age group 70-74  
 Tobacco Type: Manufactured Cigarettes (MC) ▼  
 Tar Adjustment: Unadjusted ▼  
 Relative Scaling Period: None ▼  
 ----- Period for Lagging or Averaging -----  
 Start (Youngest): 0 (Current) ▼ End (Oldest): 0 (Current) ▼



**Example 11 Consumption Plots Page 8 (Tar Adjusted)**

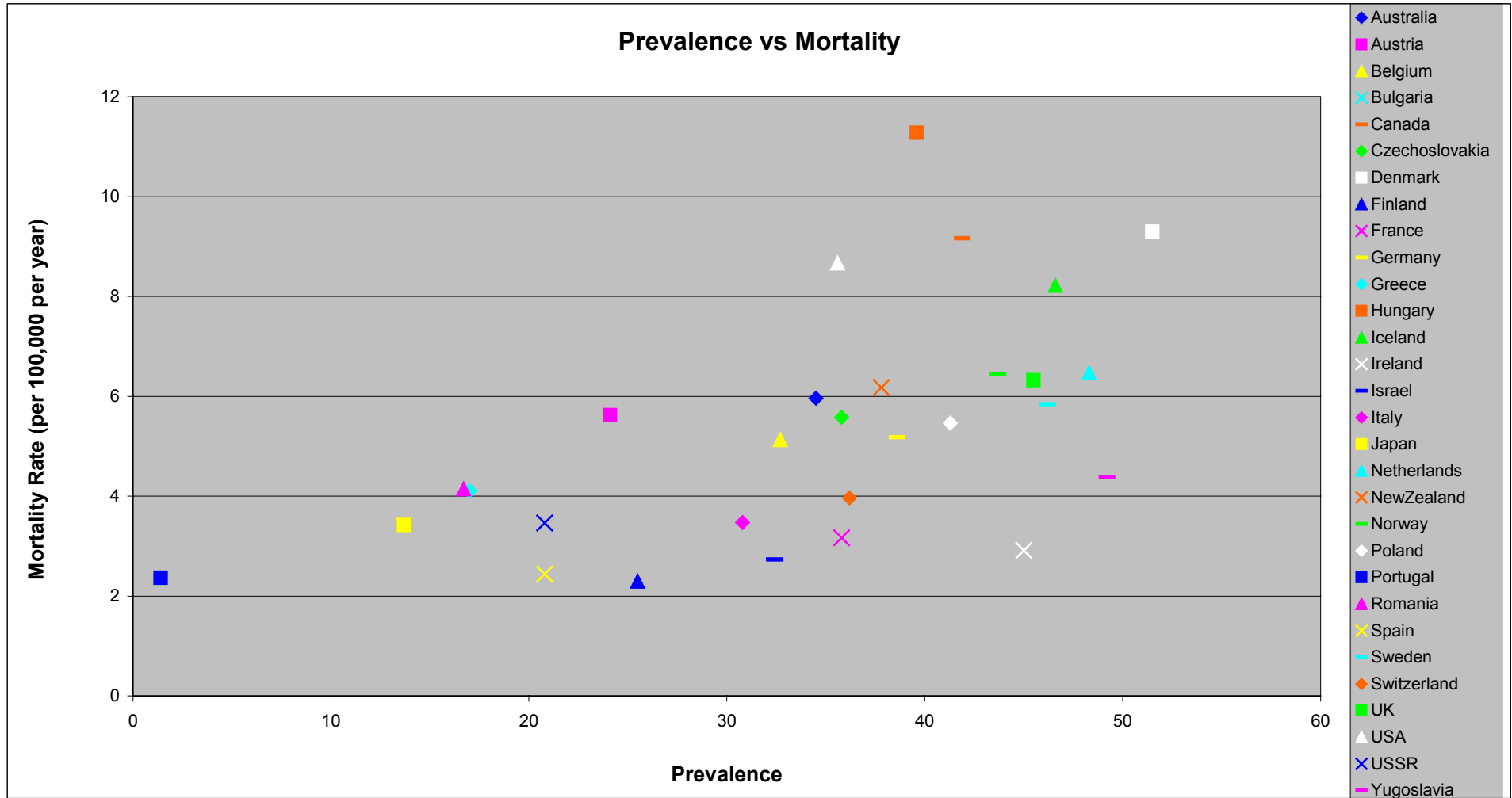
Sex & Source: Males & Females (Sales) ▼  
 Cohort or Age group: Age group 70-74  
 Tobacco Type: Manufactured Cigarettes (MC) ▼  
 Tar Adjustment: Standard ▼  
 Relative Scaling Period: None ▼  
 ----- Period for Lagging or Averaging -----  
 Start (Youngest): 0 (Current) ▼  
 End (Oldest): 0 (Current) ▼





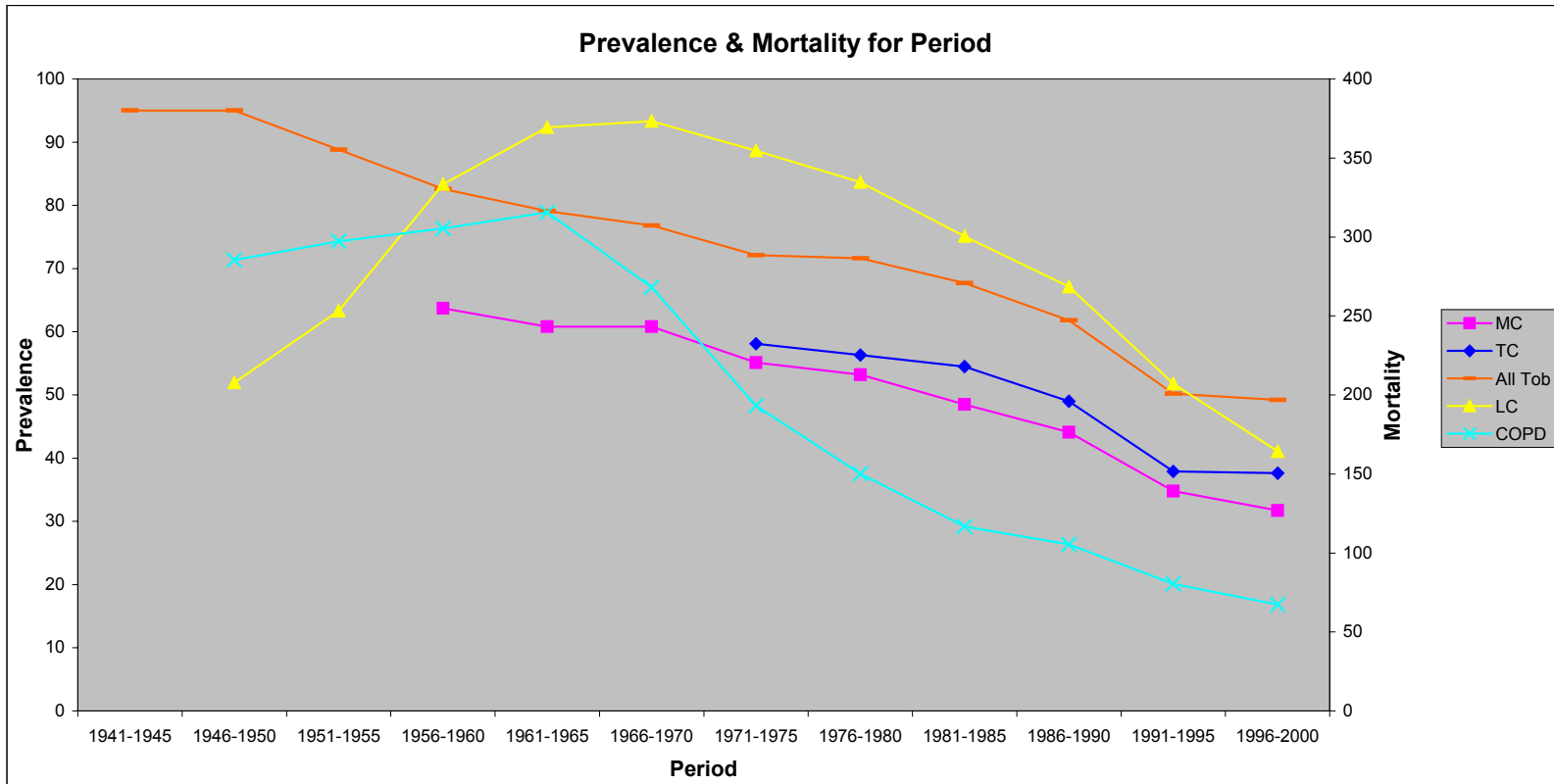
Example 12 Scatter Plots Page 2

<b>Sex (Prevalence)</b>		<b>Period or Cohort</b>		<b>Tobacco Type (Prevalence)</b>		<b>----- Period for Lagging or Averaging (Prevalence) -----</b>	
Females ▼		Period ▼		All tobacco products (A) ▼		Start (Youngest) End (Oldest)	
1986-1990 ▼		1986-1990 ▼		10 Years previous ▼		10 Years previous ▼	
<b>Sex (Mortality)</b>		<b>Age Group</b>		<b>Disease (Mortality)</b>			
Females ▼		Age group 40-44 ▼		Lung Cancer ▼			



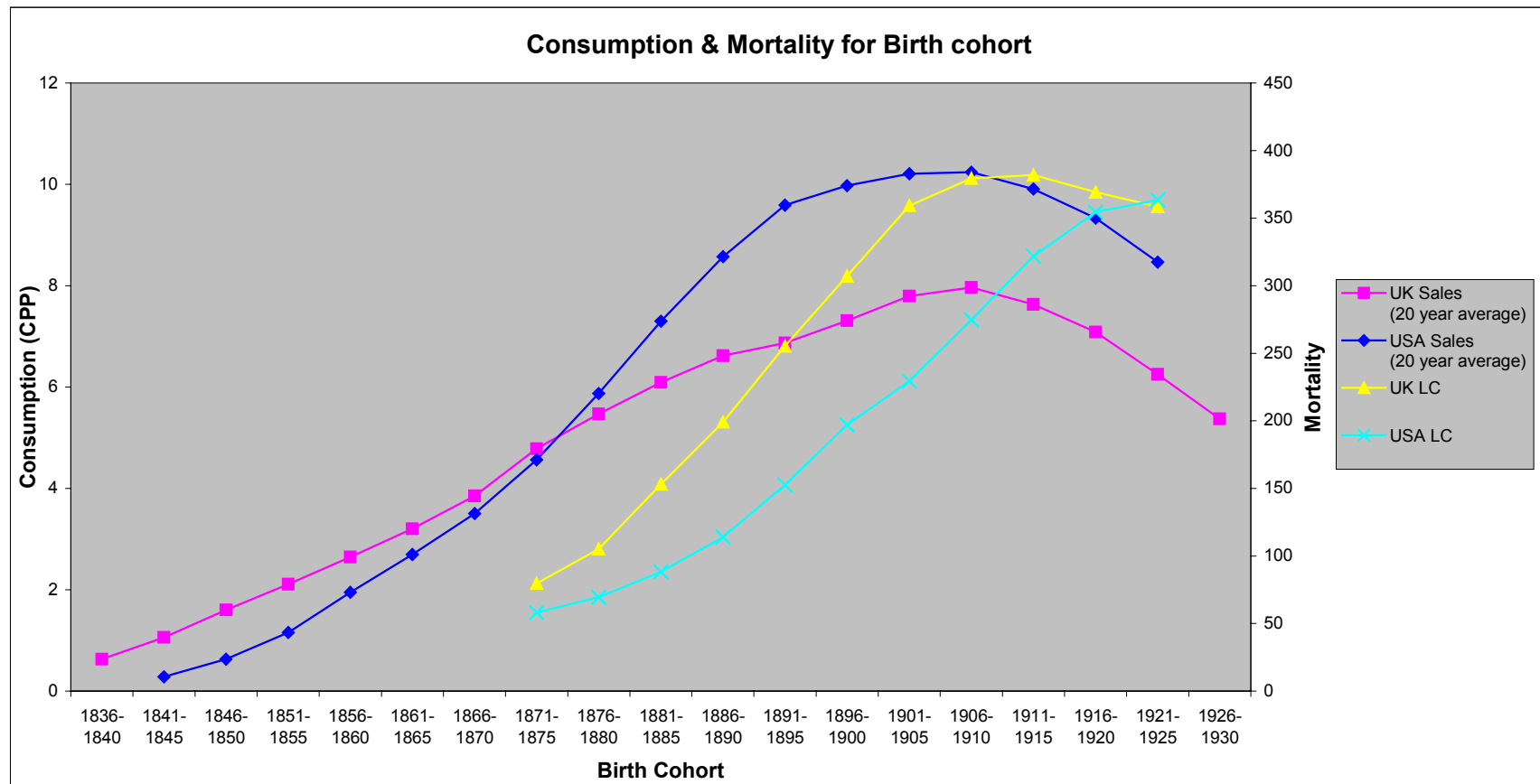
### Example 13 Combination Plots Page 5 (10 year lag)

	Country	Country Ratio	Sex	Age group or Cohort	Tobacco Type	Lagging or Averaging Start (Youngest) / End (Oldest)
(Prev 1)	MC UK	None (Single Country)	Males	Age group 60-64	Manufactured Cigarettes (MC)	10 Years previous
						10 Years previous
(Prev 2)	TC UK	None (Single Country)	Males	Age group 60-64	Total Cigarettes (TC)	10 Years previous
						10 Years previous
(Prev 3)	All Tob UK	None (Single Country)	Males	Age group 60-64	All tobacco products (A)	10 Years previous
						10 Years previous
(Mort 1)	LC UK	None (Single Country)	Males	Age group 60-64	Lung Cancer	Relative Scaling Period
(Mort 2)	COPD UK	None (Single Country)	Males	Age group 60-64	COPD	None



**Example 14 Combination Plots Page 3 (20 year average)**

	Country	Country Ratio	Sex & Source	Period or Age group	Tobacco Type / Tar Adjustment	Lagging or Averaging Start (Youngest) / End (Oldest)
(CPP 1) Year average)	UK	None (Single Country)	Males & Females (Sales)	Age group 75-79	Manufactured Cigarettes (MC) Unadjusted	20 Years previous 5 Years previous
(CPP 2) Year average)	USA	None (Single Country)	Males & Females (Sales)	Age group 75-79	Manufactured Cigarettes (MC) Unadjusted	20 Years previous 5 Years previous
(Mort 1) UK LC	UK	None (Single Country)	Males & Females	Age group 75-79	Lung Cancer	
(Mort 2) USA LC	USA	None (Single Country)	Males & Females	Age group 75-79	Lung Cancer	





**Example 15 Combination Plots Page 5 (10 year lag, relative scaling)**

	Country	Country Ratio	Sex	Age group or Cohort	Tobacco Type	Lagging or Averaging Start (Youngest) / End (Oldest)	
(Prev 1) <b>Prev 35-54</b>	UK	None (Single Country)	Males	Combined 35 - 54	Manufactured Cigarettes (MC)	10 Years previous	
						10 Years previous	
(Prev 2) <b>Prev 55-74</b>	UK	None (Single Country)	Males	Combined 55 - 74	Manufactured Cigarettes (MC)	10 Years previous	
						10 Years previous	
(Mort 1) <b>Mort 35-54</b>	UK	None (Single Country)	Males	Combined 35 - 54	Lung Cancer		<b>Relative Scaling Period</b>
(Mort 2) <b>Mort 55-74</b>	UK	None (Single Country)	Males	Combined 55 - 74	Lung Cancer		1971-1975

